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U.S. Department
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**Federal Aviation
Administration**

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Standard

PREPARATION OF INTERFACE DOCUMENTATION

FOREWORD

This standard sets forth the requirements for the preparation of the Interface Requirements Document (IRD), the Interface Control Document (ICD), and the Interface Revision (IR).

This standard specifies the minimum content and format for each of the above documents, and explains to the preparer of interface documentation how to adequately document a wide variety of interfaces.

This standard is intended for use by the Federal Aviation Administration (FAA), and by contractors to the FAA involved in the production of interface documentation.

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1. SCOPE

1.1 Scope. This standard establishes the format and minimum content of Interface Requirements Documents (IRDs), Interface Control Documents (ICDs), and Interface Revisions (IRs) used by the Federal Aviation Administration (FAA).

1.2 Purpose. The purpose of this standard is to provide a set of instructions for the preparation of IRDs, ICDs, and IRs.

2. APPLICABLE DOCUMENTS

The following documents form a part of this standard to the extent specified herein. The following references are the documents used, by date, in this standard. IRDs should reflect the latest version of the documents, or the date of the documents that are under contract by a project.

2.1 Government documents.

STANDARDS :

FAA

FAA-STD-002c	Facilities Engineering Drawing Preparation, October 1987
FAA-STD-005d	Preparation of Specification Documents, February 1980
FAA-STD-019b	Lighting Protecting, Grounding, Bonding, and Shielding Requirements for Facilities, August 1990
FAA-STD-020b	Transient Lighting Protecting, Grounding, Bonding, and Shielding Requirements for Equipment, May 1992
FAA-STD-021b	Configuration Management, March 1990
FAA-STD-023	Microfilming of Engineering and Electrical Drawings, September 1985
FAA-STD-029c	Selection of Telecommunications Standards, October 1993
FAA-STD-032	Design Standards for National Airspace System (NAS) Physical facilities, April 1986
FAA-STD-039b	NAS Open Systems Architecture and Protocols, October

1995

FAA-STD-042a	NAS Open Systems Interconnection (OSI) Naming and Addressing, May 1994
FAA-STD-043a	NAS OSI Priority, May 1994
FAA-STD-044	NAS OSI Directory Services, October 1992
FAA-STD-045	NAS OSI Security Standard, November 1994
FAA-STD-047	NAS OSI Conformance Testing, December 1993
FAA-STD-048	NAS OSI Interoperability Testing, February 1995
FAA-STD-049	FAA Standard for Fiber Optic Telecommunications Systems and Equipment, February 1994

Military

MIL-STD-100	Engineering Drawing Practices, September 1991
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FAA ORDERS:

FAA Order 1600.54b	FAA Automated Information Security System, February 1989
FAA Order 1800.8f	NAS Configuration Management, May 1991
FAA Order 1830.2b	Telecommunication Standards, Selection and Implementation Policy, August 1987
FAA Order 7350.5V	FAA Location Identifiers, February 1989

SPECIFICATIONS:

FAA-G-2100f Electronic Equipment, General Requirements,
November 1993

OTHER PUBLICATIONS:

DOT/FAA/ES-85/01 NAS Interface Management Plan and Appendices,

ATC-94-1075 Revision, November 1994

FAA WA FORM 4510-1 Materiel Specification Typing Guide Sheet,
January 1974

NAS-DD-1000 NAS Level 1 Design Document, July 1994

NAS-SR-1000 NAS System Requirements Specification, October 1994

NAS-SS-1000 NAS SYSTEM SPECIFICATION, September 1994, VOL
1 APPENDIX II

NAS Open Systems Architecture and Protocols Users Guide, March 1995

2.2 Non-government documents.

STANDARDS:

International Organization for Standardization (ISO)

ISO 8648-1988 Information Processing Systems - OSI Internal
Organization of the Network Layer

ISO/IEC DIS 9646-7-1993 Information Technology - OSI - Conformance Testing
Methodology and Framework: Implementation
Conformance Statements

ISO/IEC TR 10000-1-1989 International Standardized Profiles - Taxonomy

Framework

Institute of Electrical and Electronic Engineers (IEEE)

IEEE 315-1975	Graphic Symbols for Electric and Electronics Diagrams (including reference class designation letters with American National Standards Institute (ANSI) Y32.2), 1975
IEEE 315A-1986	Graphic Symbols for Electric and Electronics Diagrams (supplement to IEEE std 315-1975), 1986

2.3 Document Sources.

Technical society and technical association specifications and standards are generally available for reference from libraries. They are also distributed among technical groups and users in Federal agencies.

2.3.1 Source of FAA Documents. Copies of FAA specifications, standards, and publications may be obtained from the Contracting Officer, Federal Aviation Administration, 800 Independence Avenue, S.W., Washington, D. C., 20591. Requests should clearly identify the desired material by number and date, and state the intended use of the material.

2.3.2 Military and Federal Documents. Single copies of unclassified military and federal specifications, standards, and publications may be obtained by writing the Naval Publications and Forms Center, 5801 Tabor Avenue, Philadelphia, PA, 19120; or by calling (215) 697-2000 Monday through Friday, 8:00 a.m. to 2:00 p.m. E.S.T.

2.3.3 ANSI and ISO Documents. Copies of ANSI and ISO standards may be obtained from the American National Standards Institute, 11 West 42nd Street, New York, NY, 10036.

2.3.4 EIA Documents. Copies of EIA standards may be obtained from, the Electrical Industries Association, 2001 Eye Street, N.W., Washington, D. C., 20006.

2.3.5 NEC-NFPA Documents. Copies of NEC-NFPA standards may be obtained from the NEC-NFPA, Batterymarch Park, Quincy, MA, 02269.

2.3.6 FCC Documents. Copies of FCC codes may be obtained from the U. S. Government Printing Office, Washington, D. C., 20402.

3. REQUIREMENTS

3.1 Interface requirements document preparation. Subsystem/subsystem and subsystem/user Interface Requirements Documents (IRDs) shall be prepared in accordance with Appendix I and Appendix VI. Facility-type IRDs shall be prepared in accordance with Appendix II.

3.2 Interface control document preparation. Subsystem/subsystem and subsystem/user Interface Control Documents (ICDs) shall be prepared in accordance with Appendix III. For facility-type interfaces, the requirements of Appendix II will be verified by Project Implementation Plans and Site-specific Drawings.

3.3 Interface revision preparation. Interface Revisions (IRs) shall be prepared in accordance with Appendix IV.

3.4 Development Guide. Appendix VI is an introduction to the interface management process and a guide for developing IRDs and IRs. It is intended for new authors as well as those who have previously developed IRDs and IRs.

4. QUALITY ASSURANCE PROVISIONS

This section is not applicable to this standard.

5. PREPARATION FOR DELIVERY

This section is not applicable to this standard.

6. NOTES

6.1 Definitions. The following definitions apply to the terms found and used in the Appendices of this standard. These definitions shall apply when used in interface documentation.

6.1.1 Application Entity. An application entity (AE) is that part of the Application Process (AP) that is concerned with communications necessary for the distributed APs to interwork. A particular AP may be represented by one or more AEs, but each invocation of an AE can only represent a single AP. Two or more APs engage in the exchange of information via their respective AEs to support an overall distributed application within the NAS.

6.1.2 Application Process. An application process (AP) is an identifiable set of cooperating capabilities (set of resources, including processing resources) within a real open system that takes part in the execution of one or more information processing tasks. An AP-invocation is an abstract representation of the activities of a given AP. APs include manual processes, computerized processes (referred to as application functions) and/or physical processes. An AP may require interactions with other APs in whatever way is necessary to achieve a particular information processing (operational goal). A particular NAS subsystem may include one or more application processes. Examples of some APs that might be found in the National Airspace System (NAS) are Flight Plan Processing, Hazardous Weather Processing, Wind Shear Alert Processing, Aircraft Surveillance Processing, Runway Visual Range Processing, Notices to Airmen (NOTAM) Message Processing, Weather Product Processing, Remote Monitoring Subsystem (RMS) Message Processing, Radio Control Equipment (RCE) Configuration and Status Information Processing, and Network Management Processing.

6.1.3 Computer-human Interface. A computer-human interface (CHI) is the set of inputs, outputs, and special actions as well as the computer-human interaction mechanism, including dialogue procedures and the interrelationship identified for these entities in the various functional areas.

6.1.4 Data circuit-terminating equipment. The equipment that provides the signal conversion and coding between the data terminal equipment and the line. This equipment may perform other functions that are normally performed at the network end of the line.

6.1.5 Data terminal equipment. Equipment consisting of digital end instruments that convert the user information into data signals for transmission, or reconvert the received data signals into user information.

6.1.6 Drawing. Figures, block diagrams, schematics, wiring diagrams, or any other form of government or industry accepted graphic representation approved by the FAA for use in interface documentation.

6.1.7 Equipment item. An identifiable piece of hardware and/or software that can be bounded with a specification and interface definitions.

6.1.8 End system. An end system (ES) is an abstraction of one or more items of equipment (or part of an item of equipment) whose operation provides the functions of an open system associated with the interconnection of systems and the associated exchange of information. An ES representation of a NAS subsystem thus includes all the AEs of a particular system. The ES includes interaction with the functions of the application processes that are the eventual sources and destinations of user-oriented message flows. An ES can address the functions of all layers of the OSI Reference Model. An ES may be defined to communicate with another ES either:

- a) directly, without an intervening Intermediate System (IS), or
- b) indirectly, through one or more intervening ISs.

Directly implies that the only network-entities involved are the network-entities in the two communicating ESs. Communications through one or more ISs refers to communications that involve relaying by one or more network-entities, in addition to the network entity of the two communicating ESs.

6.1.9 Facility. The total plant (e.g. building, structure, enclosure, assembly, Open-Air Plan "site") required for a subsystem/equipment item to function. The facility will (at a particular geographic location) house, support, and protect the subsystem/equipment item. Facility characteristics will be determined by the total complement of dependent subsystems/equipment items.

6.1.10 Facility/subsystem IRD (or Facility-type IRD). A facility/subsystem IRD is an IRD used to specify the requirements for an interface between the given facility and the given subsystem.

6.1.11 Fixed format messages. Messages specified for use in the NAS environment that have an invariant structure.

6.1.12 Functional interfaces. Interfaces that interact across non-material boundaries. Functional interfaces are described in terms of information transfer.

6.1.13 Interface. A common functional and/or physical boundary where hardware/software interact.

6.1.14 Interface control document. A formal agreement prepared by the contractor(s) that documents how the interface requirements between two subsystems or between a subsystem and a "user" (where the "user" can be viewed as a variable that can be filled with multiple subsystems) are implemented; the as-built configuration. The ICD identifies, quantifies, and controls the design characteristics of the interface. The ICD ensures interface compatibility by documenting form, fit, and function.

6.1.15 Interface requirements document. A document written by the FAA (or by contractors under contract to the FAA for the production of specification documentation) to specify the interface requirements between two subsystems, between a subsystem and a "user" (where the "user" can be viewed as a variable that can be filled with multiple subsystems), or between a facility and a subsystem. An IRD is also used to ensure that the interface requirements between an existing subsystem/facility and a new subsystem/facility are agreed to by all affected FAA project offices.

6.1.16 Interface revision. A document used to revise an IRD or ICD and to ensure that proper incorporation of revisions takes place. The IR is designed to work within established FAA Configuration management procedures.

6.1.17 Intermediate system. An intermediate system (IS) is an abstraction of one or more items of equipment (or part of an item of equipment) and/or communications media that performs the function of relaying (and routing) of information to end systems or other intermediate systems. An IS is further detailed to provide network-layer relay functions and is allocated only to the lowest three layers of the OSI reference model in order to support the associated network-relay function. Included in

the network-relay functions are routing, forwarding, and all associated header processing functions necessary to provide the required communications services requested by the upper layer protocols.

6.1.18 Open system. An open system is an abstract representation of that part of a system that is pertinent to OSI system interconnection concepts. An open system is thus an abstraction of equipment and resources that provide those system functions that are addressed within the OSI Reference Model and may be fully described within the OSI framework, or hardware and software which is COTS/NDI and not proprietary in nature or content and, in the case of software, is an ANSI standard language.

6.1.19 Physical interfaces. Interfaces associated with material contact. Physical interfaces are described in terms of the mechanical, electrical, and environmental characteristics.

6.1.20 Point of demarcation. The demarcation point represents the line of division of contractual responsibility between interfacing equipment vendors.

6.1.21 Profile. A profile is defined as the set of one or more OSI base specifications and the identification of the chosen classes, common subsets, options and parameters of those base specifications necessary for accomplishing a particular function. Profiles are defined to facilitate interworking between systems implementing the same set of base specifications.

6.1.22 Profile requirements list. A profile requirements list (PRL) is provided for each profile and captures:

- a) the general options of the profile as a whole;
- b) a list of the specifications selected and combined in the profile; and references to the related protocol implementation conformance statement (PICS) proformas;
- c) for each of these referenced base specifications, an expression of the restrictions upon how the questions in the corresponding PICS proforma may be answered. This section of the PRL is derived from the PICS proformas of the relevant base specifications, indicating the restrictions necessary to express the profile requirements.

6.1.23 Profile specific PICS proforma. A profile may specify requirements on an implementation that cannot be mapped onto existing items in the base specifications PICS proforma(s) relevant to the profile. These additional requirements are called a protocol specific PICS proforma.

6.1.24 Protocol implementation conformance statement. A protocol implementation conformance statement (PICS) is a statement made by the supplier of an OSI implementation or a system, stating which capabilities have been implemented for a given OSI protocol.

6.1.25 PICS proforma. A PICS proforma is a document, in the form of a questionnaire, designed by the protocol specifier or the specifier of the conformance test suite, which when completed for an OSI implementation or system becomes the PICS.

6.1.26 Scheduled messages. Messages that are sent at specified times (e.g. aviation weather reports, forecasts, etc.).

6.1.27 System. A system is a group of subsystems.

6.1.28 Subsystem. A subsystem is a set of one or more computers, associated software, peripherals, terminals, human operators, physical processes, information transfer means, etc., that forms an autonomous whole capable of performing information processing and/or information transfer. A set of related subsystems may be logically combined into a single system. The Host Computer System (HCS) and the Traffic Management System (TMS) are examples of subsystems.

6.1.29 Subsystem/subsystem ICD. An ICD detailing the implementation of the interface between two given subsystems.

6.1.30 Subsystem/subsystem IRD. An IRD that specifies the interface between two given subsystems.

6.1.31 Subsystem/user ICD. An ICD designed for a given subsystem that interfaces with at least several other subsystems (i.e. users) in a very similar way. This ICD specifies the common interface properties shared by the interfaces of the given subsystem with these users.

6.1.32 Subsystem/user IRD. An IRD designed for a given subsystem that interfaces with at least several other subsystems (i.e. users) in a very similar way. This IRD describes the common interface properties shared by the interfaces of the given subsystem with these users.

6.1.33 Telecommunications equipment. The telecommunications equipment will provide for the distribution of voice and data between FAA facilities and non-FAA facilities (e.g., military tower to Air Route Traffic Control Center (ARTCC)).

6.1.34 Transaction time. The time elapsed between the sending of a message which requires a response, from the source AP and receipt of the response from the destination AP.

6.1.35 Transfer time. The time elapsed between the sending of a message from the source AP and receipt of the message by the destination AP.

6.1.36 Unscheduled messages. Messages that are sent on an as needed basis (e.g. severe weather warnings).

6.1.37 User. As referred to in a subsystem/user IRD for a given subsystem, a user of the given subsystem represents the class of subsystems that interface with the given subsystem.

6.1.38 Variable length messages. Messages specified for use in the NAS environment with a variable structure for fields (e.g. allowing "n" copies of field "m" to transmit parameters for up to "n" locations) or free form text.

6.2 Abbreviations and acronyms. The following abbreviations and acronyms are used in this standard. Where these terms are used in interface documentation, these definitions shall apply.

AE Application Entity

ANSI American National Standards Institute

AP Application Process

ARTCC Air Route Traffic Control Center

ASCII American Standard Code for Information Interchange

ATCT Air Traffic Control Tower

CCB	Change Control Board
CCD	Change Control Decision
CCITT	International Telegraph and Telephone Consultative Committee
CDR	Critical Design Review
CHI	Computer-human Interface
CI	Configuration Item
CIP	Capital Investment Plan
CM	Configuration Management
COTS	Commercial off-the-shelf
CSCI	Computer Software Configuration Item
DCCR	Display Channel Computer Replacement
DCE	Data Circuit-Terminating Equipment
DOCCON	Document Control
DSR	Display System Replacement
DTE	Data Terminal Equipment
EIA	Electronic Industries Association
EDB	Engineering Database
ES	End System

FAA	Federal Aviation Administration
FAATC	FAA Technical Center
FBCN	Financial Baseline Change Notice
HCS	Host Computer System
HDLC	High Level Data Link Control
HVAC	Heating, Ventilation, and Air-Conditioning
HWCI	Hardware Configuration Item
ICD	Interface Control Document
ICWG	Interface Control Working Group
IEC	International Electrotechnical Commission
IEEE	Institute of Electrical and Electronic Engineers
IFM	Interface Management
IR	Interface Revision
IRD	Interface Requirements Document
IS	Intermediate System
ISO	International Organization for Standardization
ITU-T	International Telecommunication Union Standardization Sector (formerly CCITT)

kVA	Kilovoltampere
LAPB	Link Access Procedure Balanced
LDRCL	Low-density RCL
LLC	Logical Link Control
LLWAS	Low Level Windshear Alert System
MIL	Military
NADIN	National Airspace Data Interchange Network
NAS	National Airspace System
NCP	NAS Change Proposal
NEXRAD	Next-generation Weather Radar
NIU	Network Interface Unit
NIST	National Institute of Standards and Technology
NOTAM	Notice to Airmen
ORD	Operational Readiness Date
OSI	Open Systems Interconnection
PARB	Program Assessment Review Board
PDR	Preliminary Design Review

PICS Protocol Implementation Conformance Statement

PM Project Management

PRB Pre-review Board

PRL Profile Requirements List

PSN Packet-switched Network

QOS Quality of Service

RCE Radio Control Equipment

RCL Radio Communications Link

RCR Routing and Circuit Restoral

RFP Request for Proposal

RMM Remote Maintenance Monitoring

RMS Remote Monitoring Subsystem

SE System Engineering

SLS System Level Specification

SOW Statement of Work

SSCC System Support Computer Complex

STD Standard

SUT System Under Test

TBS	To Be Supplied
TCCC	Tower Control Computer Complex
TDWR	Terminal Doppler Weather Radar
TIM	Technical Interchange Meeting
TMS	Traffic Management System
TR	Technical Report
VRTM	Verification Requirements Traceability Matrix
WARP	Weather and Radar Processor

6.3 Key word index. A list of words and phrases that when cataloged will lead researchers to this document for additional information because of its subject and content. Examples of terms are: interface documentation, interface control, interface requirements, and VRTM.

APPENDIX I

10. SUBSYSTEM/SUBSYSTEM AND SUBSYSTEM/USER INTERFACE REQUIREMENTS DOCUMENT PREPARATION

10.1 General preparation requirements for IRDs. The following general preparation requirements shall apply to all subsystem/subsystem and subsystem/user IRDs.

10.1.1 IRD Format. Each IRD shall conform, at a minimum, to the generic format presented in Figure 10-1 of this standard. Appendices may be used to specify requirements or to provide information in an IRD when the material is lengthy or otherwise does not fit the Figure 10-1 format. When appendices are used to impose requirements, the appendices shall be referenced in a manner that specifies that they are requirements. As explained in paragraphs 10.5.2.1 and 10.5.2.2, appendices will also be used to incorporate relevant protocol implementation conformance statement (PICS) proformas and profile requirement lists (PRLs) for OSI profiles and common NAS applications. If an item required by the Figure 10-1 format is not required to properly specify interface requirements in a particular IRD, the words "This IRD imposes no explicit [title of subsection or paragraph] requirements" shall be used. This does not preclude the incorporation of such items in the subsequent ICD, if appropriate to satisfy implicit requirements, such as those derived from implementation considerations. If an item required by the Figure 10-1 format is not yet sufficiently defined to permit the specification of requirements, it shall be identified by use of the term/acronym "To Be Supplied" (TBS). If TBS is used, the TBS shall be defined prior to the baseline of the IRD. If an item required by the Figure 10-1 format is definitely not applicable to the interface being specified, it shall be identified by use of the term "Not Applicable." In instances where the requirements imposed by an entire subsection are imposed by reference to another IRD, it shall be necessary to list only the number and title of the subsection, followed by the reference to the appropriate IRD. Where this is done, it shall not be necessary to list each paragraph of the subsection as required by the Figure 10-1 format. Figures shall be numbered using Arabic numerals for the second digit (i.e. 3-1, 3-2) and tables shall be numbered using capital Roman numerals for the second digit (i.e., 3-I, 4-I).

10.1.2 IRD standards. IRDs shall be prepared in accordance with this appendix and FAA-STD-005. Drawings used to impose requirements shall comply with FAA-STD-002 and MIL-STD-100, as applicable. Clarity and legibility shall meet the reproducibility requirements of FAA-STD-023.

10.1.3 Basic approach. Government or industry standards and specifications; or documents that act in the capacity of defacto standards or specifications shall be used to specify interface requirements whenever possible. Drawings, figures, tables, and written text shall be used to supplement requirements contained in a standard or specification, or in the absence of an applicable standard or specification. Standards or specifications may also be used in an IRD to provide information or clarification without imposing requirements.

10.1.4 Headers. Each page of an IRD, including the front cover, shall contain a header in the upper right hand corner of that page. Each header shall contain the IRD number and the date of the IRD. If the IRD is a draft document, the word "DRAFT" shall follow the date and be represented in capital letters. IRD numbers

shall be obtained from the FAA. If the IRD is a revision to a baseline IRD, the revision letter shall be included immediately under the IRD number by use of the word "REVISION" in capital letters, followed by the revision letter of the IRD.

10.1.5 Page numbers. The cover of the IRD shall be considered to be the first page, although no page number shall appear on the cover. Page numbering shall begin on the Approval Signature Page. The Approval Signature, Revision Record, Effectivity, and Table of Contents pages shall be numbered using lower case Roman numerals. The Approval Signature page shall be numbered "ii," with the pages through the Table of Contents numbered sequentially. The page beginning with Section 1, SCOPE, shall be numbered as page "1" using Arabic numerals. The subsequent pages of the IRD, including appendices, shall also be numbered sequentially using Arabic numerals.

10.1.6 Paragraphing. This appendix uses the terms section, subsection, and paragraph in discussing the structural requirements for an IRD. The terms section and subsection are used in the conventional sense. The use of the term paragraph is far more liberal, and can mean a single paragraph or multiple paragraphs that are subparagraphs of a main paragraph. The author of an IRD shall subparagraph as necessary to present interface requirements in a logical, concise, and understandable manner. Each subparagraph shall be numbered. All requirements are to be structured such that only one "shall" statement appears in a uniquely identifiable portion of the subparagraph. They must be stated in Section 3, Interface Requirements. There is a one-to-one correspondence between each shall statement and each entry in the Verification Requirements Traceability Matrix (VRTM).

10.1.6.1 Reference publications. IRDs should reflect the latest version of the documents, or the date of the documents that are under contract. When requirements are contained in reference documents the author will have to specify the extent (tailoring) of the requirements and additionally specify the verification methods for these requirements. Assure that when lower level documents are cited that the choices and options are clearly indicated.

10.2 IRD publication requirements. The following publication requirements shall apply to all subsystem/subsystem and subsystem/user IRDs.

10.2.1 Covers.

10.2.1.1 Covers for subsystem/subsystem IRDs. IRDs shall be in accordance with the format presented in Figure 10-2. IRD covers shall be produced using FAA WA Form 4510-1. The subsystem/subsystem IRD title shall identify the interfacing subsystems. In the case where the number of interfacing subsystems precludes listing each in the title, a generic title may be used.

10.2.1.2 Covers for subsystem/user IRDs. Covers for subsystem/user IRDs shall follow the same rules as in paragraph 10.2.1.1 except that the second occurrence of the word "subsystem" of Figure 10-2 shall be replaced with the word "user".

10.2.2 Approval Signature Page. The Approval Signature page shall be the first interior page of an IRD,

and be in accordance with the format presented in Figure 10-3.

10.2.3 Revision record. The Revision Record page shall be in accordance with the format presented in Figure 10-4. The "REVISION LETTER" column shall show the revision letter assigned at the time of each incorporation. The "DESCRIPTION" column shall briefly describe the change that was incorporated. In the "DATE" and "ENTERED BY" columns, approval signatures shall be affixed and dated for each revision letter entry.

10.2.4 Effectivity. Effectivity pages shall not be included in IRDs. The location of equipment will be specified in NAS System Specification (NAS-SS-1000), Volume 1 Appendix II.

10.2.5 Table of Contents. The Table of Contents shall outline the contents of the document by sections and paragraphs. Their respective title and page number shall be listed in parallel columns in the order in which they appear in the document. The Table of Contents shall be in accordance with the sample format presented in Figure 10-5.

10.3 Section 1, SCOPE. The contents of this section shall be as defined in the following paragraphs.

10.3.1 Subsection 1.1, Scope. The scope shall consist of a brief summary of the contents of the IRD and its intended purpose. At a minimum, the scope shall contain the following sentence for subsystem/subsystem IRDs: "This IRD provides the requirements for an interface between the [subsystem] and the [subsystem]", and the following sentence for subsystem/user IRDs: "This IRD provides the requirements for an interface between the [subsystem] and users".

10.3.2 Subsection 1.2, Subsystem responsibility list. The subsystem responsibility list (for the subsystems indicated in paragraph 10.3.1 above) shall appear immediately after the scope. The list shall consist of the interfacing subsystems with the respective common name and the responsible FAA program office. For subsystem/user IRDs, it is not necessary to list all the subsystems that could be replaced by the word "user".

10.4 Section 2, APPLICABLE DOCUMENTS. Applicable documents shall be listed in accordance with FAA-STD-005. The contents of this section shall be as defined in the following paragraphs. All documentation citations shall contain the identification of the specific issue of the cited document.

10.4.1 Subsection 2.1, Government documents. Government source documents (standards, specifications, publications, etc.) referenced in the IRD shall be listed. Other IRDs referenced by this IRD shall be listed in this subsection under the category "OTHER PUBLICATIONS."

10.4.2 Subsection 2.2, Non-government documents. Non-government source documents referenced in the IRD shall be listed.

10.4.3 Subsection 2.3, Document Sources. A list of names and addresses of organizations and the types of documents they have available.

10.5 Section 3, INTERFACE REQUIREMENTS. This section of the IRD shall specify the general, functional, and physical interface requirements between the interfacing subsystems. Included shall be performance requirements allocated by higher level specifications and any computer-human interface (CHI) peculiar requirements. Requirements shall be specified only to the extent necessary to ensure adequate interface design.

10.5.1 Subsection 3.1, General requirements. This subsection shall distinctly identify the interfacing subsystem(s), the point of interface, and functions/services provided by the interface. The connectivity between subsystems shall be specified as illustrated in Figure 10-6. This figure illustrates a) DTE to DTE connectivity, and b) DTE to DCE connectivity.

10.5.1.1 Subsection 3.1.1, Computer-human interface requirements. Describes any computer-human interface (CHI) requirements not specified elsewhere in the IRD.

10.5.2 Subsection 3.2, Functional requirements. This subsection of the IRD shall specify the functional requirements for the interface as described in the following paragraphs. Functional requirements can for example be identified in three categories of interfaces: OSI-type (Data), Analog-type, and Discrete-type. There can be other categories. Performance and tolerance requirements shall be specified to the extent that they are appropriate to the functional requirement being specified. This subsection, Functional requirements, is required in each IRD written to this standard, and the contents will vary based on the purpose that the interface is intended to fulfill. The functional interface connection between subsystems, shall be specified as illustrated in Figure 10-7.

10.5.2.1 Subsection 3.2.1, Application Processes. This section and the following subsections apply to OSI-type interfaces. Preparers of Analog and Discrete-type IRDs should advance to subsection 10.5.2.3 or 10.5.2.4 respectively.

Interfaces involving computer processing of user application information shall specify the Application Process(es) (AP) that will be present in the interface between two subsystems (reference Section 6.1.2, Definitions, for the definition of "Application Process"). For a subsystem/user IRD, the APs that will be present in any interface involving the given subsystem shall be specified (reference the definition of subsystem/user IRD). The requirements listed in the following subparagraphs shall be specified for Application Processes to the degree that they are to be present. Figure 10-8 shows an example listing of such requirements.

If the interface utilizes a given AP that has an entry contained in a NAS application process standard, then the associated requirements list should be placed in an appendix to the IRD. This requirements list can be referenced wherever applicable in order to satisfy the requirements specified in paragraphs 10.5.2.1.1 through 10.5.2.1.6. If some of the information specifying the AP for an IRD is contained in another IRD, then this second IRD may be referenced. If such referencing is performed, the relevant portions of the referenced IRD shall be specified. As an example, it may be convenient for a given IRD to reference a specific subsystem/user IRD. The IRD writer may choose to copy appropriate requirements lists contained in an appendix to the referenced IRD into an appendix of the referencing IRD.

10.5.2.1.1 Subsection 3.2.1.1, Identification of each Application Process. (OSI-type interfaces only) Identify and describe each AP that utilizes the interface. A descriptive name may be provided. If an Application (AE) title has been assigned for the AP (and the associated AE that will be used), that title should be specified here.

10.5.2.1.2 Subsection 3.2.1.2, Types of service required by the Application Process. (OSI-type interfaces only) Describe the kind of service(s) required by the AP (e.g. message transfer, file transfer, data base inquiry, weather graphics, surveillance, sensor, etc.). Specify the National Airspace System (NAS) category of service: critical, essential, or routine; as specified in NAS-SR-1000.

10.5.2.1.3 Subsection 3.2.1.3, Information units. (OSI-type interfaces only) Identify the units of information that may be transferred across the interface between two subsystems (e.g. messages, requests, acknowledgments, files, sensor and surveillance data messages, error messages, control messages, reports, etc.). If message type numbers have been associated with the information units, they should be listed to provide traceability. Specific information unit types (e.g. specific message types) should be identified. Specify the following requirements for each information unit.

a. Information code/structure - Identify the representation and structure of the information exchanged between the APs (e.g. American Standard Code for Information Interchange (ASCII), binary, graphic, etc.) Include the format for each information unit specifying the fields and field lengths. This may be indicated in an appendix.

b. Information unit segmentation - Specify any segmentation required of the AP for each information unit. Include the maximum and minimum information unit sizes.

c. Information flow - Indicate the direction of flow of each information unit (e.g. indicate initiator/responder of the information unit. Describe the procedures for initiating and responding to each information unit.

d. Frequency of transmission - Indicate scheduled and unscheduled information unit transfer (include the times for the scheduled transfers and the average number of transfers per unit of time for the unscheduled transfers). Include maximum requirements that can occur (e.g., peak transmission frequency).

e. Responses - Indicate if responses (including acknowledgments) are required for specific information unit transfers. Specify the response (e.g. the specific information unit type) and the response timer values. Indicate the maximum time allowed for receipt of an expected response.

10.5.2.1.4 Subsection 3.2.1.4, Quality of Service (QOS). (OSI-type interfaces only) The QOS parameters required by the AP shall be documented and may include:

a. Priority - Indicate the relative importance of each information unit type in relation to other unit types processed by communicating NAS APs. NAS applications shall use the application layer

priority indicators specified in FAA-STD-043 in this section when exercising the priority option specified in application layer protocol standards used by the NAS. The information priority capabilities of the lower OSI layers have nothing to do with AP information priority.

b. Security - Indicate any security requirements such as protection from unauthorized access as required by FAA Order 1600.54B. Reference FAA-STD-045 for specific security requirements for systems which implement OSI protocols.

c. Residual Error Rate - The ratio of total incorrect, lost and duplicate data units to the total data units transferred across the network service boundary during a measured period.

d. Transfer time constraints - Specify any performance requirements for the Application Process(es). Include any maximum transfer times for each information unit.

e. Throughput - Indicate the throughput as the number of bytes of user data transferred over a given time interval.

10.5.2.1.5 Subsection 3.2.1.5, AP Error handling. (OSI-type interfaces only) Error handling procedures of the AP should be specified as required. Clarify what constitutes an error condition. The error handling capabilities of the lower OSI layers are assumed to be unrelated to the AP error handling process.

10.5.2.1.6 Subsection 3.2.1.6, Interface Summary table. (OSI-type interfaces only) An interface summary table (reference Figure 10-9) shall be used to establish associations between the messages that flow across the interface and the functions (Application Processes) by each of the interfacing subsystems. The left side of the interface summary table column shall list the Source, AP, and the subprocesses. The middle column shall contain the names of the messages associated with a subprocess and the reference paragraph. The right hand column shall list the Sink, the AP, and the subprocess.

The following information shall also be provided:

a. For each cooperating source and sink function that requires interconnection support, the interface summary table shall designate an application Process by name and a matching AP for the interfacing subsystems.

b. For each AP, the interface summary table shall designate a set of one or more Application Entities corresponding to subfunctions that originate or terminate specific data communications. For convenience, the Application Entities should be sequentially numbered as a subset within the AP numbering.

c. For each pair of Application Entities, one or more specific messages shall be listed. Each message shall represent a functional link between a pair of subprocesses listed as the logical interface for the two subsystems.

- d. For any AP, Application Entity, or message that cannot be identified, entries in the table shall be marked "TBS".

10.5.2.2 Subsection 3.2.2, OSI-type (Data) interface. Functional requirements shall be specified for an OSI-type interface, where applicable, for each layer of the International Organization for Standardization (ISO)/OSI model specified. Figure 10-10 shows an example functional requirements listing for an OSI-type interface. FAA-STD-039 provides a data communications architecture and contains a library of NAS OSI profiles and associated protocol implementation conformance statement (PICS) proformas and profile requirements lists (PRLs).

For each profile used in the interface that differs in any way from the base standards, the PRL and PICS proformas must be placed in an appendix to the IRD. If this profile is an entry in FAA-STD-039, then the PRL and the PICS proformas may simply be copied from FAA-STD-039. Appropriate portions of FAA-STD-039 and/or the appendix may be referenced in the IRD wherever applicable in order to specify the interface. If the profile is not an entry in FAA-STD-039, then it must be constructed by the IRD writer.

Guidance for the development of profiles, PRLs, and PICS proformas is provided in ISO/IEC DIS-9646-7 and ISO TR 10000-1. In any case, if there are additional restrictions on the PICS proforma, the PICS proforma must be modified to become a profile specific PRL. If the interface does not require any changes from the base standards, the appropriate PICS proforma may simply be referenced without copying them into the IRD. If some of the information specifying the interface for an IRD is contained in another IRD, then this second IRD may be referenced. If such referencing is performed, the relevant portions of the referenced IRD shall be specified. (As an example, it may be convenient for a given IRD to reference a particular subsystem/user IRD. The IRD writer may choose to copy appropriate PICS proformas or PRLs contained in an appendix to the referenced IRD into an appendix of the referencing IRD.)

The architecture defined in FAA-STD-039 is based on the seven layers of the OSI model. The contents of subsection 3.2.2 shall address the following items shown below as required.

3.2.2.1 Application Layer

3.2.2.2 Presentation Layer

3.2.2.3 Session Layer

3.2.2.4 Transport Layer

3.2.2.5 Network Layer

3.2.2.6 Data Link Layer

3.2.2.7 Physical Layer

3.2.2.7.1 DTE to DCE Interconnection

3.2.2.7.2 DTE to DCE Interconnection with Intermediate Equipment

3.2.2.7.2.1 DTE Intermediate Equipment

3.2.2.7.2.2 DCE Intermediate Equipment

3.2.2.7.2.3 DTE to DTE

10.5.2.3 Subsection 3.2.3, Analog-type interface. The functional requirements for an analog-type interface in accordance with FAA-STD-029 shall specify the number of analog signal paths required in each direction; the nature of the signals (e.g. voice band audio); the requirements for switching, control, and supervisory signaling; and the common electronic characteristics of the analog signals to be accommodated by the communications link or network that serves the interface (e.g. frequency bandwidth, impedance, signal level, noise and distortion limits, etc.). Any other requirements (for signal processing, signaling, call set-up, etc.) that pertain to the analog portion of the interface shall also be specified. Figure 10-11 shows an example requirements listing for an analog-type communications interface.

10.5.2.4 Subsection 3.2.4, Discrete-type interface. The functional requirements for a discrete-type interface in accordance with FAA-STD-029 shall specify the number of control signal paths to be used in each direction; functional requirements for switching, signaling, etc.; functions controlled on each signal path (e.g. "receiver mute" or "automatic gain control"); the common electrical characteristics (e.g. voltage, polarity, rise time, frequency, pulse rate, etc.) to be accommodated by the communications link or network which serves the interface; and any other requirements that pertain to the discrete control signal portion of the interface. Figure 10-12 shows an example requirements listing for a discrete-type communications interface.

10.5.2.5 Subsection 3.2.5, Interface requirements table. In addition to specifying the functional requirements of the interface in the textual format required by the previous paragraphs, interface functional requirements shall also be summarized in an interface requirements table or matrix. The interface requirements table will serve as a "quick-look" reference. Included shall be message identification (e.g. number, name, etc.); format type; message sizes (whether fixed or variable lengths); frequency/rate of transmission. The reference source for messages mandated by international treaties, agreements with government agencies, etc. shall also be included. An example of this interface requirements table is illustrated in Figure 10-13.

10.5.3 Subsection 3.3, Physical requirements. In certain cases where one or more of the subsystems supplies electrical/mechanical/environmental support to another subsystem, the physical requirements must be documented in an IRD as described in the following paragraphs. Performance and tolerance requirements shall be specified to the extent that they are appropriate to the functional requirement being specified.

10.5.3.1 Paragraph 3.3.1, Electrical power/electronic requirements. This paragraph of the IRD shall provide the electrical power/electronic requirements associated with the interface as specified by FAA-G-2100. The electrical power requirements are those which relate to the transfer of primary-type power between subsystems. Electronic requirements are those which relate to the process of signaling or controlling. The specific electrical power/electronic factors to be considered in specifying the power transfer requirements are:

- a. Voltage (AC/DC)
- b. Frequency
- c. Current (AC/DC)
- d. Transients (voltage and current)
- e. Maximum ripple
- f. Wave form and distortion
- g. Polarity (+/-), number of phases, and phase rotation
- h. Protection (voltage and current)
- i. Power and kilovoltamperes (kVA) and power factor (displacement and distortion)
- j. Maximum noise level

This paragraph is required only when one subsystem will provide power to the interfacing subsystem.

10.5.3.1.1 Subparagraph 3.3.1.1, Connectors. This paragraph of the IRD shall specify the requirements for electrical power/electronic connectors. When it is necessary to specify requirements for connectors, such requirements may include the mechanical and electrical characteristics of size, shape, type, design, materials, finishes, number of pins, gender, polarity, fastening requirements, and voltage or current limitations.

10.5.3.1.2 Subparagraph 3.3.1.2 Wire/Cable. This paragraph is required only when there are specific limitations to cable lengths. Reference FAA-STD-019 and FAA-STD-020 when using this paragraph.

10.5.3.1.3 Subparagraph 3.3.1.3, Electrical power/electronic referencing (grounding). This paragraph of the IRD shall specify how each circuit is connected to the common electrical reference(s) for power and signals. This paragraph is required only if the subject material is not specified in section 3.2.2.7, Physical Layer.

10.5.3.1.4 Subparagraph 3.3.1.4, Fasteners. This paragraph of the IRD shall specify the fasteners to be used to assemble interfacing components. Mechanical jackscrews shall be provided to maintain secure electrical connections between mating parts in accordance with FAA-G-2100.

10.5.3.1.5 Subparagraph 3.3.1.5, Electromagnetic compatibility. This paragraph is required only when one subsystem imposes specific limits on the electromagnetic compatibility requirements to the interfacing subsystem. Such requirements include signal transmission characteristics, radar interference, and communications interference.

10.6 Section 4, QUALITY ASSURANCE PROVISIONS. This section of the IRD shall specify the process of verification for interface requirements presented in Section 3 of the IRD. For requirements specified in an external reference, refer to Section 10.1.6.1 for verification methodology.

10.6.1 Subsection 4.1, General. This subsection shall contain the following statement: "Verification shall be in accordance with Table [4-x], Verification Requirements Traceability Matrix (VRTM)." Verification levels and methods implemented in the VRTM are defined in the following paragraphs.

10.6.2 Subsection 4.2, Responsibility for verification. This subsection shall contain a statement to the effect that the government has responsibility for developing and implementing the verification of requirements for each project. The government may delegate verification activities to other organizations, independent contractors, and/or the major prime contractor.

10.6.3 Subsection 4.3, Special verification requirements. This subsection of the IRD shall list and describe any special verification requirements necessary to verify the technical requirements imposed by Section 3, Interface Requirements, of the IRD. These special verification requirements shall include, but not be limited to those defined in the following paragraphs.

10.6.3.1 Subsection 4.3.1, ISO conformance. This subsection and subsections 10.6.3.2 and 10.6.3.3 apply to OSI-type interfaces only. Preparers of Analog and Discrete-type IRDs should advance to subsection 10.6.4.

The system under test (SUT) shall consist of all ISO protocols specified in this document, along with that part of the physical device required to support these protocols. Proof of ISO conformance shall be provided by the contractor, indicating that the product has been certified as ISO conformant by an accredited testing agency. Any ISO protocol specified in this document, and not tested in the test suite used by the testing agency, must be demonstrated to be conformant, by the contractor, using some other test method, subject to FAA approval. Conformance testing information is contained in FAA-STD-047.

10.6.3.2 Subsection 4.3.2, ISO Interoperability. (OSI-type interfaces only) Prior to the start of integration level verification, interoperability of ISO protocols shall be demonstrated by testing the SUT against an approved test reference system. This testing shall be conducted by a National Institute of Standards and Technology (NIST) approved interoperability testing agency. FAA-STD-048 contains specific guidelines on how to proceed when a reference system is not available. FAA Program Offices are responsible for

obtaining the results of interoperability testing from the contractor.

10.6.3.3 Subsection 4.3.3, Non-ISO interoperability. (OSI-type interfaces only) Prior to the start of integration level verification, functional interoperability not related to ISO protocols shall be demonstrated at the FAA Technical Center (FAATC) System Support Computer Complex (SSCC), or other appropriate demonstration site.

10.6.4 Table [4-x], Verification Requirements Traceability Matrix. (OSI-type interfaces only) Each IRD shall contain a VRTM that conforms to the format specified by Figure 10-14 and with contents that provide verification of each technical requirement contained in Section 3 of the IRD. If Section 3 of the IRD references an appendix of the IRD for requirements, each requirement contained in the appendix of the IRD shall also be listed in the VRTM with the appropriate verification. Only those verification methods used in the VRTM shall be identified at the top of the VRTM and defined in the following paragraphs.

10.6.5 Subsection 4.4, Verification levels and methods. The levels and methods of verification appropriate for use in the VRTM, presented in Section 4 of the IRD, are defined in the following paragraphs.

10.6.5.1 Paragraph 4.4.1, Verification levels. The three levels of verification are: Subsystem, Integration, and Site. All requirements imposed by Section 3 of the IRD shall be verified at one or more of these three levels.

- a. Subsystem-level. This level of verification is usually accomplished at the contractor's facility and culminates in the formal acceptance of a contractual end-item.
- b. Integration-level. This level of verification is conducted at the FAATC, or at a key site. The verification conducted will determine if the hardware, software, or subsystem to be deployed for site installation will perform in a NAS environment and in accordance with NAS system-level operational and functional requirements.
- c. Site-level. This level of verification is usually performed at the designated site. The verification portion of the subsystem installation and checkout will emphasize demonstration of the overall system performance requirements. It includes the demonstration of an end-item, subsystem and/or system, the final acceptance demonstrations, and commissioning activities.

10.6.5.2 Paragraph 4.4.2, Verification methods. The four verification methods that can be used at any of the three verification levels are as follows.

- a. Inspection. Inspection is a method of verification to determine compliance without the use of special laboratory equipment, procedures, or services, and consists of a non-destructive static-state examination of hardware, software, and/or technical data and documentation.
- b. Test. Test is a method of verification wherein performance is measured during or after the

controlled application of functional and/or environmental stimuli. Quantitative measurements are analyzed to determine the degree of compliance. The process uses standardized laboratory equipment, procedures, and/or services.

c. **Demonstration.** Demonstration is a method of verification where qualitative determination of properties is made for a configuration item, including software and/or the use of technical data and documentation. The items being verified are observed, but not quantitatively measured, in a dynamic state.

d. **Analysis.** Analysis is a method of verification where hardware or software designs are compared with known scientific and technical principles, procedures, and practices to estimate the capability of the proposed design to meet the mission and system requirements.

10.7 Section 5, PREPARATION FOR DELIVERY. This section of the IRD shall specify any "interface peculiar" preparation for delivery requirements.

10.8 Section 6, NOTES. This section of the IRD shall contain information of a general or explanatory nature. No requirements shall appear in Section 6. It shall contain information designed to assist in determining the applicability of the IRD.

10.8.1 Subsection 6.1, Operational concept. This subsection shall contain information relative to the use of the configuration item covered by the IRD. A brief summary of the functions of the subsystem relative to the interfacing subsystem should be included.

10.8.2 Subsection 6.2, Definitions. This subsection shall define all non-standard terms used in the IRD. Terms that are defined in FAA-STD-025, section 6.1, shall have the same definition in the IRD.

10.8.3 Subsection 6.3, Abbreviations and acronyms. This subsection shall define all abbreviations and acronyms used in the IRD. Entries that are defined in FAA-STD-025, section 6.2, shall have the same definition in the IRD.

10.8.4 Subsection 6.4, Key word index. This subsection shall list any key words or phrases used in the IRD for reference to the interfacing subsystems.

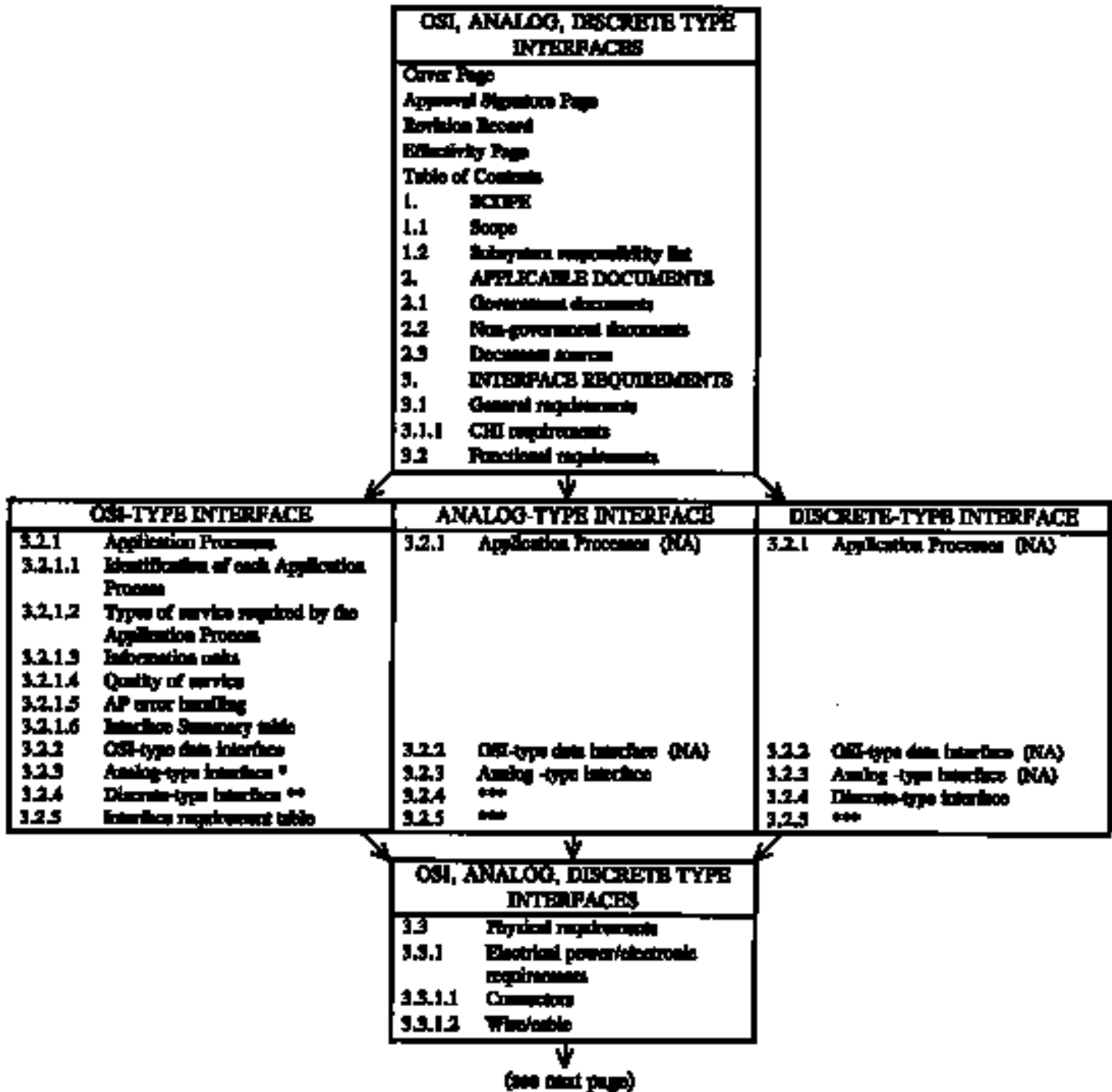
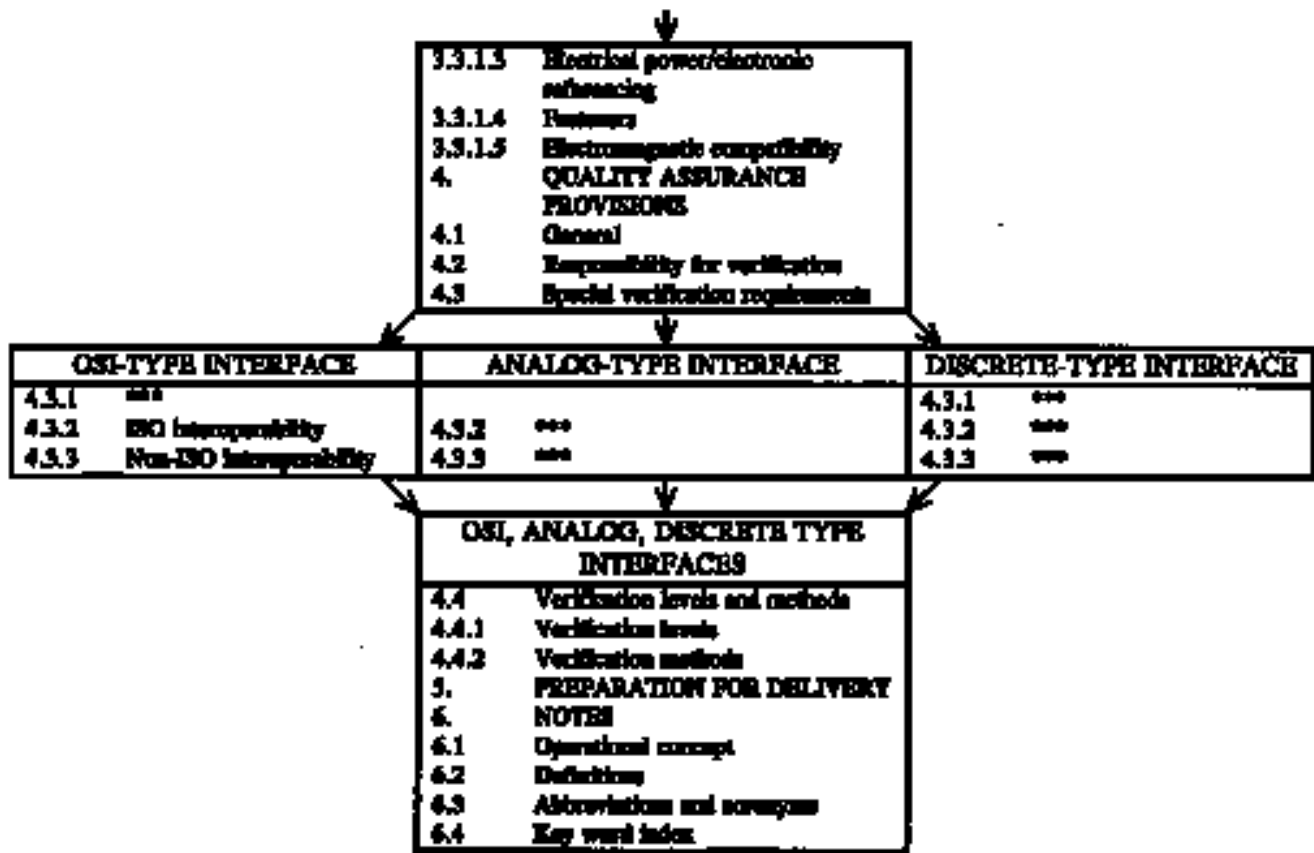


Figure 10-1. IRD Format Outline (OSI-type, Analog-type, and Discrete-type Interfaces)



Notes:

NA Not Applicable.

***** This section is "NA" unless the interface contains analog-type data.

****** This section is "NA" unless the interface contains discrete-type data.

******* There is no need to include section number in document.

Figure 10-1. IRD Format Outline (OSI-type, Analog-type, and Discrete-type Interfaces) --continued

<div data-bbox="1169 157 1354 262"><p>[IRD Number] [Rev Letter] [Date]</p></div> <div data-bbox="336 483 1088 543"><p>U.S. Department of Transportation</p></div> <div data-bbox="513 598 907 632"><p>Federal Aviation Administration</p></div> <div data-bbox="342 663 1083 724"><p>Interface Requirements Document</p></div> <div data-bbox="412 821 1010 858"><p>[Interfacing Subsystem 1 / Interfacing Subsystem 2]</p></div>
--

Figure 10-2. IRD Cover Page (FAA WA Form 4510-1)

<div style="text-align: right;">[IRD Number] [Rev Letter] [Date]</div> <div style="text-align: center;">INTERFACE REQUIREMENTS DOCUMENT APPROVAL SIGNATURE PAGE [Interfacing Subsystem 1 / Interfacing Subsystem 2]</div>		
APPROVAL SIGNATURES		
PARTICIPANT	NAME	DATE
[Subsystem 1 Project Organization]		
[Subsystem 2 Project Organization]		
[NAS System Engineering]		

Figure 10-3. IRD Approval Signature Page

				[IRD Number]
				[Rev Letter]
				[Date]
REVISION RECORD				
REVISION LETTER	DESCRIPTION	DATE	ENTERED BY	
[Revision letter]	[Brief summary of change including IR number]	[CCD approval date]	[Name of person editing document]	

Figure 10-4. IRD Revision Record

[IRD Number]

[Rev Letter]

[Date]

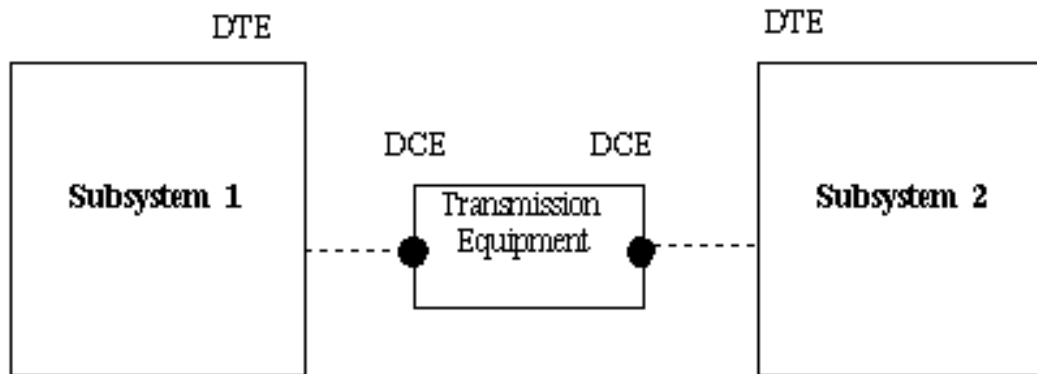
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Figure 10-5. Example IRD Table of Contents



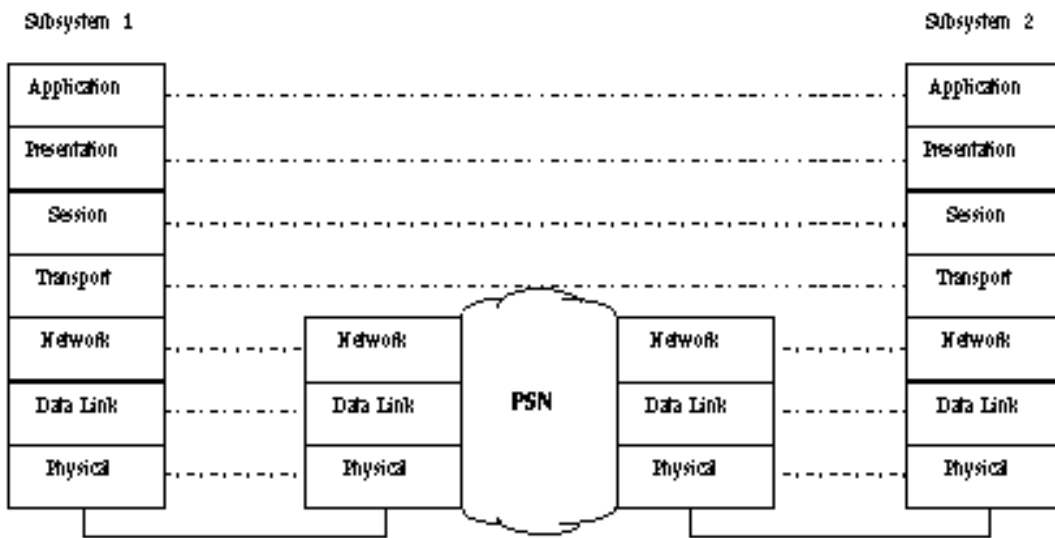
a) Without Transmission Equipment



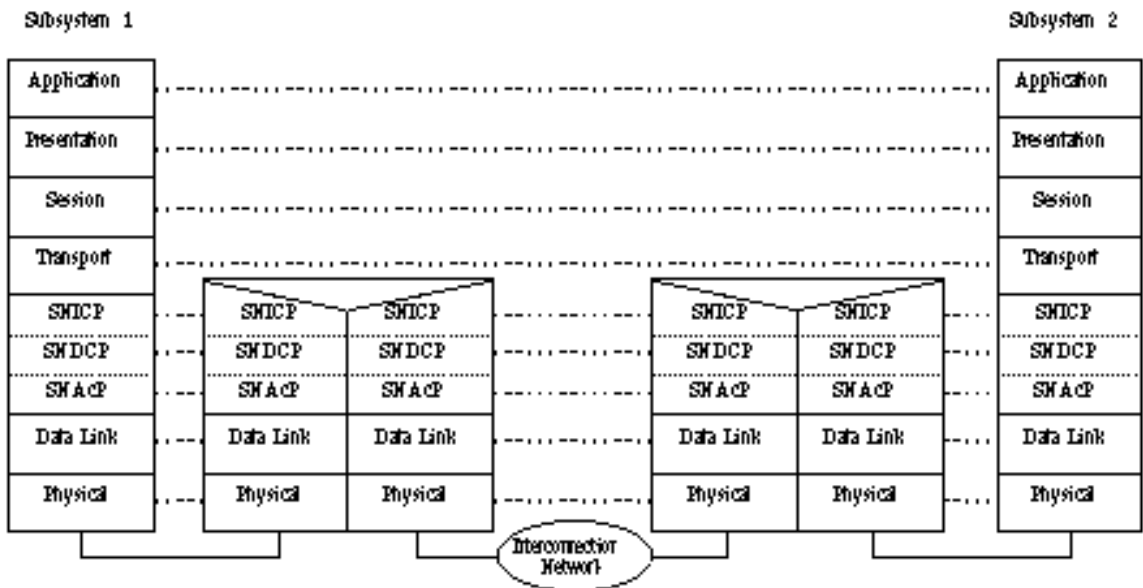
b) With Transmission Equipment

● Point of demarcation

Figure 10-6. Example Subsystem Connectivity Diagram



(a) Subsystem interconnection via packet-switched network (PSN)



(b) Subsystem interconnection via routers

Figure 10-7. Example Functional Interface Diagrams for OSI-type subsystems

321	<u>Application process.</u> The application processes present in the interface will implement functions that support the transfer of data processed by the APs.
3211	<u>Identification of application processes (AP).</u> The APs which exchange data are: <div style="display: flex; justify-content: space-around;"> <div> <u>WMSCR</u> <ul style="list-style-type: none"> • Graphics Weather Data Processing • A/N Weather Data Processing </div> <div> <u>WARP and CFWARP</u> <ul style="list-style-type: none"> • Graphics Weather Data Processing • A/N Weather Data Processing </div> </div>
3212	<u>Type of service required by the AP.</u> WARP and CFWARP APs shall receive, store, and distribute weather messages received from WMSCR APs. The NAS category of service is essential.
3213	<u>Information units.</u> The WMSCR/WARP AP and WMSCR/CFWARP AP shall use messages to transfer weather data to and from WMSCR.
32131	<u>Information code.</u> The representation of the information exchanged between the WMSCR/WARP and WMSCR/CFWARP APs shall be as follows:
321311	<u>Alphanumeric data.</u> Alphanumeric products and alphanumeric annotations to graphic products shall be encoded in accordance with the American Standard Code for Information Interchange (ASCII) character set.
3213111	<u>ASCII implementation.</u> ASCII implementations of character coded data shall be in accordance with FIPS PUB 1-2.
321312	<u>Gridded Binary Data.</u> Gridded binary data shall be encoded in accordance with WMO FM 92-VIII.
321313	<u>Graphic data.</u> Graphic data shall be encoded as vector graphic data.
321314	<u>Application Data Unit (ADU).</u> All data exchanged between the WMSCR/WARP and WMSCR/CFWARP interfaces shall conform to the ADU format specified in Figure 3-5.
321315	<u>ADU transfer.</u> Each ADU shall be transmitted to the remote application through the associated transport connection, in one or more Transport Protocol Data Units (TPDUs) according to the transport layer segmenting and assembling procedure.

Figure 10-8. Example Application Process Requirements

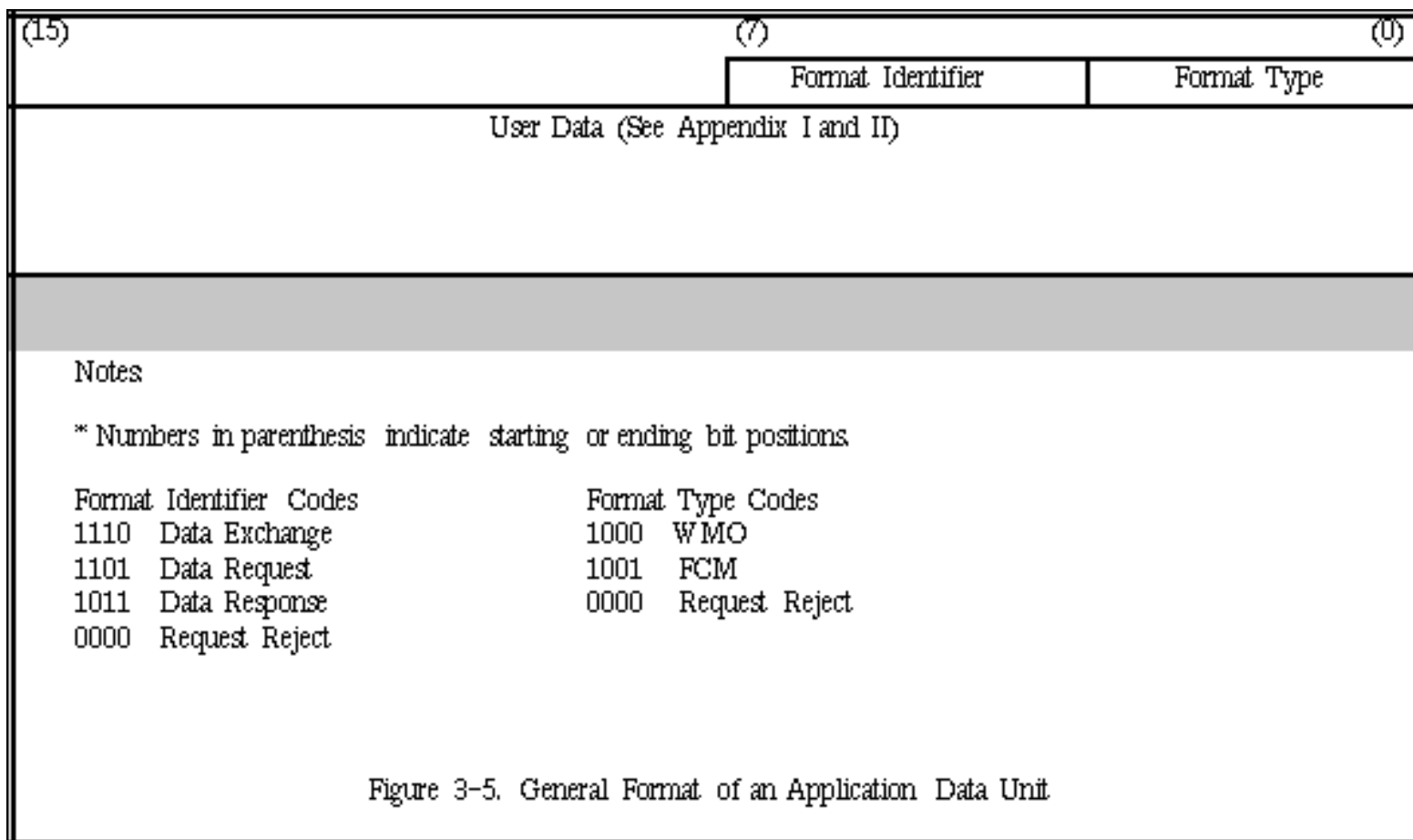


Figure 10-8. Example Application Process Requirements -- continued

- 3.2.1.3.2 Information structure.
- 3.2.1.3.2.1 WMSCR/WARP. The information structure of messages processed by the WMSCR/WARP APs shall be as described in Table 3-I and Appendices I and II.
- 3.2.1.3.2.2 WMSCR/CFWARP. The information structure of messages processed by the WMSCR/CFWARP APs shall be as described in Table 3-II and Appendices I and II.
- 3.2.1.3.3 Information unit segmentation. The WMSCR/WARP and WMSCR/CFWARP APs are not required to perform message segmentation.
- 3.2.1.3.4 Information priority. There is no information priority for the WMSCR/WARP and WMSCR/CFWARP interfaces.
- 3.2.1.3.5 Information security. There is no information security for the WMSCR/WARP and WMSCR/CFWARP interfaces.
- 3.2.1.3.6 Information flow.
- 3.2.1.3.6.1 WMSCR/WARP. Messages can be initiated from either side. The flow of messages between the WMSCR and WARP shall be two-way as shown in Table 3-III.
- 3.2.1.3.6.2 WMSCR/CFWARP. Messages can be initiated from either side. The flow of messages between the WMSCR and CFWARP shall be two-way as shown in Table 3-IV.
- 3.2.1.3.6.3 Data requests. WMSCR shall receive and respond to data request received from WARP and CFWARP.
- 3.2.1.3.7 Frequency of transmission.
- 3.2.1.3.7.1 WMSCR/WARP. The WMSCR/WARP shall transmit messages as shown in Table 3-I.
- 3.2.1.3.7.2 WMSCR/CFWARP. The WMSCR/CFWARP shall transmit messages as shown in Table 3-II.
- 3.2.1.3.8 Responses. Not applicable.
- 3.2.1.4 Quality of service. Not applicable.

Figure 10-8. Example Application Process Requirements -- continued

- 32141 Transfer time constraints for WMSCR/WARP. The maximum one-way message transmission delay shall consist of the WARP and WMSCR communications processing delay times, plus the NADIN PSN communications delay time. The delay time for the WARP communications processing is 0.5 second. The delay time for WMSCR communications processing is 0.5 second. The NADIN PSN communications delay time is 0.5 seconds.
- 32142 Transfer time constraints for WMSCR/CFWARP. The maximum one-way message transmission delay shall consist of the CFWARP and WMSCR communications processing delay times, plus the NADIN PSN communications delay time. The delay time for the CFWARP communications processing is 0.5 second. The delay time for WMSCR communications processing is 0.5 second. The NADIN PSN communications delay time is 0.5 second.
- 3215 AP Error handling. Not applicable.
- 3216 Interface summary table. A summary of the messages to be exchanged across the interface is provided in Table 3-III and 3-IV. This interface shall provide the availability to transfer the listed messages between application processes.

Figure 10-8. Example Application Process Requirements -- continued

Table 3-III. WMSCR/WARP Interface Summary Table

Subsystem A			Subsystem C
WARP	Messages	Dir	WMSCR
<u>Graphics WX Data Processing</u>	<u>Graphics WX Messages</u>		<u>Graphics WX Data Processing</u>
	Hazardous WX Area Outlined	A ----> C	
<u>A/N WX Data Processing</u>	<u>A/N WX Messages</u>		<u>A/N WX Data Processing</u>
	Aircraft Reconnaissance Report	A <----- C	
	AWOS Hourly Surface Wx Observations Message	A <----- C	
	AWOS Special Surface Wx Observations Message	A <----- C	
	Center Weather Advisory	A <----> C	
	DoD Point Weather Warning	A <----- C	
	DoD Severe Weather Advisory	A <----- C	

DoD Surface Observations	A <----- C
DoD Terminal Forecasts	A <----- C
General Information Message	A <-----> C
ICAO Aerodrome Reports	A <----- C
ICAO Aircraft Reports	A <----- C
ICAO Area Forecasts	A <----- C

Figure 10-9. Example OSI-type Interface Summary Table

3.2.2	<u>Communications requirements.</u> The WMSCR/WARP and WMSCR/CFWARP interface functional requirements shall be implemented in accordance with FAA-STD-039A.
3.2.2.1	<u>Application layer.</u> This IRD imposes no explicit application layer requirements.
3.2.2.2	<u>Presentation layer.</u> This IRD imposes no explicit presentation layer requirements.
3.2.2.3	<u>Session layer.</u> This IRD imposes no explicit session layer requirements.
3.2.2.4	<u>Transport layer.</u> Transport layer services and transport layer protocols shall be implemented in accordance with ISO 8072 and ISO 8073, respectively.
3.2.2.4.1	<u>Transport layer protocol classes.</u> The transport layer protocol class 4(TP4) shall be implemented in accordance with ISO 8073 and ISO 8073/DAD2.
3.2.2.4.2	<u>Transport layer timers.</u> The transport layer shall support the timers identified for TP4 operation, in accordance with ISO 8073 and ISO 8073/DAD2.

Figure 10-10. Example Functional Requirements for an OSI-type Communications Interface

3.2.2.4.3 Configurable parameters. The configurable parameters that are required to support the transport protocol shall be as defined below and in Table 3-V:

- a. CR-Timer: This timer is associated with the connection request transport protocol data unit (CRTPDU) transmitted by the transport entity. The timer is reset and started when the CR TPDU is transmitted or retransmitted and stopped when the connection is accepted, refused or is unsuccessful.
- b. CR-Count: This is the retry count for retransmitting the unacknowledged CRTPDU.
- c. N-TPDU: This is the negotiated maximum size of the TPDU.

Table 3-V. Configurable Transport Protocol Parameters

Parameter	Lower Limit	Upper Limit	Resolution	Default
CR-Timer (sec)	0	120	0.05	10
CR-Count (tries)	1	16	1	3
N-TPDU (octets)	128	8192	*	128

3.2.2.5 Network layer. Network layer requirements shall be in accordance with NAS-IR-43020001 for MADM PSN connectivity.

3.2.2.6 Data link layer. Data link layer requirement shall be in accordance with NAS-IR-43020001 for MADM PSN connectivity.

3.2.2.7 Physical layer. The physical layer requirements shall be in accordance with NAS-IR-43020001.

3.3 Physical requirements. Physical requirements shall be in accordance with NAS-IR-43020001 for MADM PSN connectivity.

Figure 10-10. Example Functional Requirements for an OSI-type Communications Interface -- continued

- 3.2.3 Analog-type interface. The following VSCS and RCE audio signal exchanges are functional requirements for each A/G frequency to be controlled by the VSCS. These requirements are illustrated in Figure 3-9.
- 3.2.3.1 Receiver voice to VSCS. The RCE shall provide an audio connection to the VSCS to convey voice communications from remote A/G receiver equipment
- 3.2.3.2 Transmitter voice to RCE. The VSCS shall provide an audio connection to the RCE to convey voice communications to be sent over A/G transmitter equipment

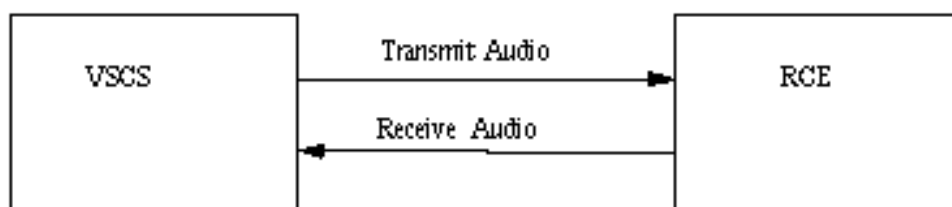


Figure 3-9. VSCS/RCE Audio Functional Requirements

Figure 10-11. Example Analog-type Communications Interface

- 3.2.4 Discrete-type interface. The following control signal exchanges are functional requirements for each AG frequency to be controlled by the VSCS. These requirements are illustrated schematically in figure 3-10. The terms "on state" and "off state" refer to the signal states defined in FED-STD-1020 (RS-422).
- 3.2.4.1 Push-to-talk (PTT) control. The VSCS shall indicate the engagement of the push-to-talk control by putting the PTT signal in the on state. The PTT signal shall be maintained in the off state at all other times.
- 3.2.4.2 Receiver (RX) remote muting. The VSCS shall request the muting of a remote receiver by placing the RX muting signal in the on state. The RX signal shall be maintained in the off state at all other times.
- 3.2.4.3 Trunk lockout. The RCE shall indicate that a frequency is already in use by its primary site by placing the trunk lockout signal to the secondary site in the on state. The signal shall be maintained in the off state at all other times.
- 3.2.4.4 PTT confirmation. The RCE shall indicate that the PTT function has been engaged at the radio equipment by turning on the PTT confirmation signal. The signal shall be maintained in the off state at all other times.
- 3.2.4.5 Main/standby transmitter (TX) selection. The VSCS shall request the RCE to switch from main to standby transmitter by changing the main/standby TX selection from the off state to the on state. The VSCS shall request the RCE to switch from standby to main transmitters by changing the main/standby TX selection signal from the on state to the off state.
- 3.2.4.6 Main/standby RX selection. The VSCS shall request the RCE to switch from main to standby receivers by changing the main/standby RX selection from the off state to the on state. The VSCS shall request the RCE to switch from standby to main receivers by changing the main/standby RX selection signal from the on state to the off state.
- 3.2.4.7 Main/standby TX confirmation. The RCE shall confirm to the VSCS the use of the main transmitter by placing the main/standby TX confirmation signal in the off state. The RCE shall confirm the use of the standby transmitter by placing the main/standby TX confirmation signal in the on state.
- 3.2.4.8 Main/standby RX confirmation. The RCE shall confirm to the VSCS the use of the main receiver by placing the main/standby TX confirmation signal in the off state. The RCE shall confirm the use of the standby receiver by placing the main/standby RX confirmation signal in the on state.
- 3.2.4.9 Squelch break. The RCE shall inform the VSCS that squelch has been broken on a receiver (ie., that a signal has been presented to the receiver antenna) by placing the squelch break signal in the on state. The squelch break signal shall be maintained in the off state at all other times.
- 3.2.4.10 Receiver automatic gain control (AGC). The RCE shall supply to the VSCS an automatic gain control voltage corresponding to the receiver AGC.
- 3.2.4.11 RX remote muting confirmation. The RCE shall confirm to the VSCS that the remote receiver is muted by turning on the RX remote muting signal. The signal shall be maintained in the off state at all other times.
- 3.2.4.12 Transceiver tuning. The VSCS/RCE interface shall not preclude the exchange of data needed to tune tunable transceivers.

Figure 10-12. Example Discrete-type Communications Interface

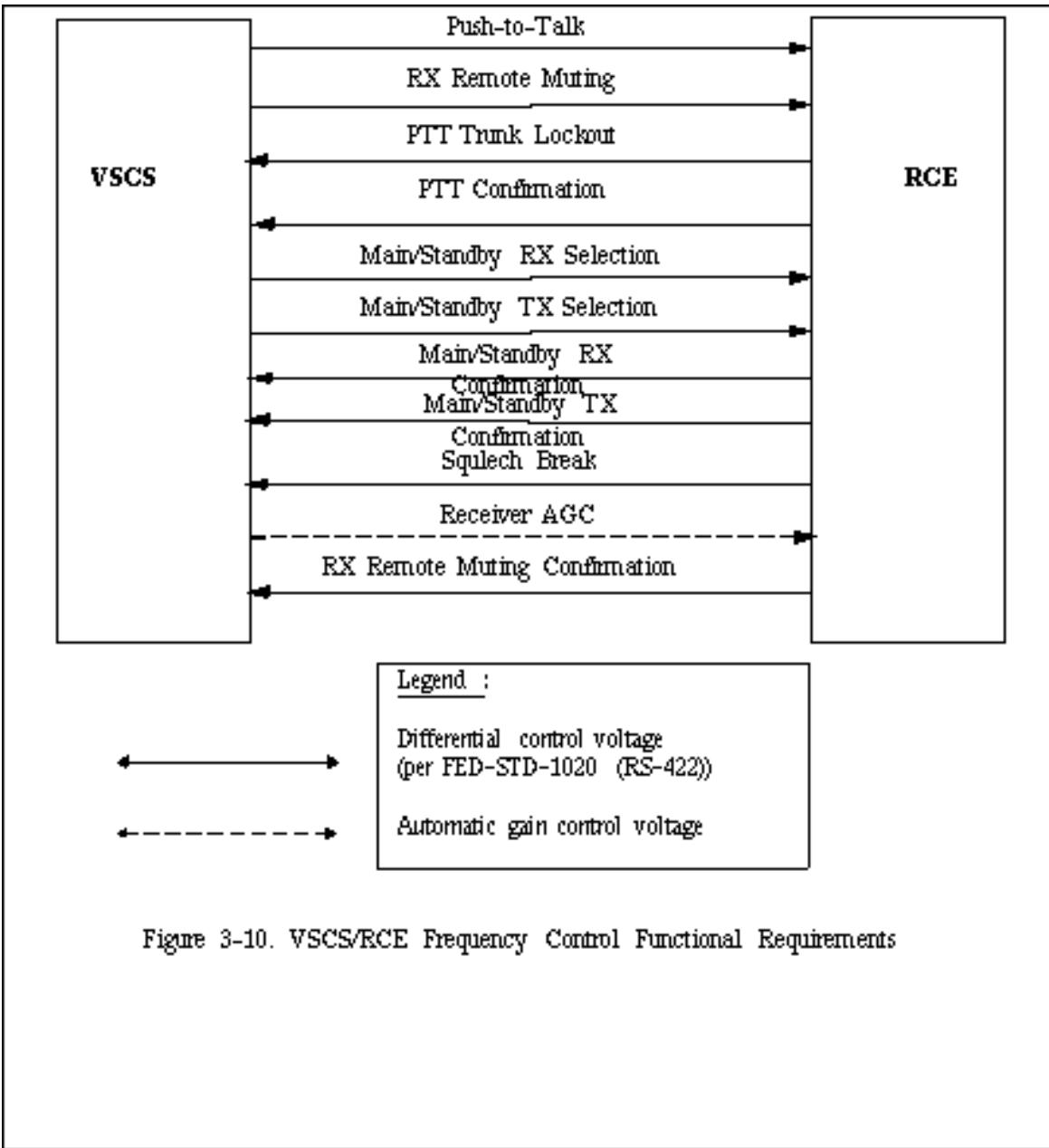


Figure 3-10. VSCS/RCE Frequency Control Functional Requirements

Figure 10-12. Example Discrete-type Communications Interface --continued

Table 3-1. WMSCR/WARP Interface Requirements Table				
PRODUCT NAME	PRODUCT MNEMONIC	PRODUCT TYPE	PRODUCT SIZE (BYTES)	TRANSM. FREQUENCY (PEAK)
1. Aircraft Reconnaissance Report	UA	A/N	.3k	2/hr
2. AWOS Hourly Surface Weather Observations	SAO	A/N	.2k	905/hr
3. AWOS Special Surface Weather Observations	SAS	A/N	.2k	95/hr
4. Center Weather Advisory	CWA	A/N	.2k	69/Day
5. Center Weather Advisory *	CWA	A/N	.2k	3/Day
6. DoD Point Weather Warning	WOP	A/N	3.3k	4/day
7. DoD Severe Weather Advisories	SWA	A/N	3.3k	4/day
8. DoD Surface Observations	SAOD	A/N	.09k	165/hr
9. DoD Terminal Forecasts	TAFD	A/N	.08k	660/day
10. General Information Message	GIM	A/N	.2k	69/Day
11. General Information Message*	GIM	A/N	.2k	3/Day
Note:				
* Message goes from WARP to WMSCR.				

Figure 10-13. Example Interface Requirements Table

Table 4-1. Verification Requirements Traceability Matrix				
D=Demonstration I=Inspection A=Analysis T=Test X=Not Applicable				
Section 3 Requirements Paragraph Reference	Verification Phase and Method			
	Subsystem Level	Integration Level	Site Level	Remarks

Figure 10-14. VRTM Format

APPENDIX II

20. FACILITY-TYPE INTERFACE REQUIREMENTS DOCUMENT PREPARATION

20.1 General preparation requirements for IRDs. The following general preparation requirements shall apply to all facility-type IRDs.

20.1.1 IRD Format. Each IRD shall conform, at a minimum, to the generic format presented in Figure 20-1 of this standard. If an item required by the Figure 20-1 format is not yet sufficiently defined to permit the specification of requirements, it shall be identified by use of the term/acronym "To Be Supplied" (TBS). If "TBS" is used, the TBS shall be defined prior to the baselining of the IRD. If an item required by the Figure 20-1 format is definitely not applicable to the interface being specified, it shall be identified by use of the term "Not Applicable." Figures shall be numbered using Arabic numerals for the second digit (i.e. 3-1, 3-2) and tables shall be numbered using capital Roman numerals for the second digit (i.e., 3-I, 4-I).

20.1.2 IRD standards. IRDs shall be prepared in accordance with this appendix and FAA-STD-005. Drawings prepared for use in imposing requirements shall comply with FAA-STD-002 and MIL-STD-100, as applicable. Clarity and legibility shall meet the reproducibility requirements of FAA-STD-023.

20.1.3 Basic approach. Government or industry standards and specifications; or documents that act in the capacity of de-facto standards or specifications shall be used to specify interface requirements whenever possible. Drawings, figures, tables, and written text shall be used to supplement requirements contained in a standard or specification. Standards or specifications may also be used in an IRD to provide information or clarification without imposing requirements.

20.1.4 Headers. Each page of an IRD, including the front cover, shall contain a header in the upper right hand corner of that page. Each header shall contain the IRD number and the date of the IRD. If the IRD is a draft document, the word "DRAFT" shall follow the date and be represented in capital letters. IRD numbers shall be obtained from the FAA. If the IRD is a revision to a baselined IRD, the revision letter shall be included immediately under the IRD number by use of the word "REVISION" in capital letters, followed by the revision letter of the IRD.

20.1.5 Page numbers. The cover of the IRD shall be considered to be the first page, although no page number shall appear on the cover. Page numbering shall begin on the Approval Signature page. The Approval Signature, Revision Record, Effectivity, and Table of Contents pages shall be numbered using lower case Roman numerals. The Approval Signature page shall be numbered "ii," with the pages through the Table of Contents numbered sequentially. The page beginning with Section 1, SCOPE, shall be numbered as page "1" using Arabic numerals. The subsequent pages of the IRD, including appendices, shall also be numbered sequentially using Arabic numerals.

20.1.6 Paragraphing. This appendix uses the terms section, subsection, and paragraph in discussing the structural requirements for an IRD. The terms section and subsection are used in the conventional sense. The use of the term paragraph is far more liberal, and can mean a single paragraph or multiple paragraphs that are subparagraphs of a main paragraph. The author of an IRD shall subparagraph as necessary to present interface requirements in a logical, concise, and understandable manner. All subparagraphs shall be numbered. All requirements are to be structured such that only one "shall" appears in a uniquely identifiable text entity.

20.1.6.1 Reference publications. IRDs should reflect the latest version of the documents, or the date of the documents that are under contract. When requirements are contained in reference documents the author will have to specify the extent (tailoring) of the requirements and additionally specify the verification methods for these requirements. Assure that when lower level documents are cited that the choices and options are clearly indicated.

20.2 IRD publication requirements. The following publication requirements shall apply to all facility-type IRDs.

20.2.1 Covers. Covers for IRDs shall be in accordance with the format presented in Figure 20-2. IRD covers shall be produced using FAA WA Form 4510-1. The IRD title shall identify the interfacing facility/subsystem.

20.2.2 Approval Signature Page. The Approval Signature page shall be the first interior page of an IRD, and be in accordance with the format presented in Figure 20-3.

20.2.3 Revision record. The Revision Record page shall be in accordance with the format presented in Figure 20-4. The "REVISION LETTER" column shall show the revision letter assigned at the time of each incorporation. The "DESCRIPTION" column shall briefly describe the change that was incorporated. In the "DATE" and "ENTERED BY" columns, approval signatures shall be affixed and dated for each revision letter entry.

20.2.4 Effectivity. Effectivity pages shall not be included in IRDs. The location of equipment will be specified in NAS System Specification (NAS-SS-1000), Volume 1 Appendix II.

20.2.5 Table of Contents. The Table of Contents shall outline the contents of the document by sections and paragraphs. Their respective title and page number shall be listed in parallel columns in the order in which they appear in the document. The Table of Contents shall be in accordance with the sample format presented in Figure 20-5.

20.3 Section 1, SCOPE. The contents of this section shall be as defined in the following paragraphs.

20.3.1 Subsection 1.1, Scope. The scope shall consist of a brief summary of the contents of the IRD and its intended purpose. At a minimum, the scope shall contain the following sentence: "This IRD provides the requirements for an interface between the [facility] and the [subsystem]."

20.3.2 Subsection 1.2, Facility/Subsystem responsibility list. The facility/subsystem responsibility list shall appear immediately after the scope. It shall consist of a list of the interfacing facility/subsystems with their respective common names and the responsible FAA project offices.

20.4 Section 2, APPLICABLE DOCUMENTS. Applicable documents shall be listed in accordance with FAA-STD-005. The contents of this section shall be as defined in the following paragraphs. All document citations shall contain the identification of the specific issue of the cited document.

20.4.1 Subsection 2.1, Government documents. Government source documents (standards, specifications, publications, etc.) referenced in the IRD shall be listed. Other IRDs referenced by this IRD shall be listed in this subsection under the category "OTHER PUBLICATIONS."

20.4.2 Subsection 2.2, Non-government documents. Non-government source documents referenced in the IRD shall be listed.

20.5 Section 3, INTERFACE REQUIREMENTS. This section of the IRD shall specify the general, physical, and project unique interface requirements between a facility and a subsystem. Requirements shall be specified only to the extent necessary to ensure adequate interface design. Figure 20-6 shows an example interface requirements section.

20.5.1 Subsection 3.1, General requirements. This subsection shall contain the following statements: "The [subsystem] equipment shall be installed in the [facility] and will require space, heating, ventilation, and air-conditioning (HVAC). Safety, security, and environmental requirements are specified in FAA-STD-032 and FAA ORDER 1600.54."

20.5.2 Subsection 3.2, Physical requirements. This subsection shall specify the physical requirements of the interface in terms of envelope (space), electrical (power), and environmental (HVAC) requirements. These physical requirements shall be as illustrated in Figure 20-7. In some instances it may be advantageous to use text, as identified in the following paragraphs, to specify requirements in addition to the data tables. Project unique requirements necessary to ensure proper operation of the physical aspects of the interface shall also be specified. Performance and tolerance requirements shall be specified to the extent that they are appropriate to the functional requirement being specified.

20.5.2.1 Paragraph 3.2.1, Envelope. This paragraph shall specify the envelope, footprint, location and orientation requirements necessitated by the interface. Minimum and maximum space requirements of subsystem/equipment items shall be specified to ensure compatibility with associated facilities and to mitigate possible detrimental interaction. The impact of accessibility, environmental, and envelope factors on the interface shall be taken into account when specifying interface location and orientation. Adequate space to allow for maintenance access shall also be specified. Figure 20-8 illustrates an example of the location, space, and orientation information. Unless the exact quantities, sizes, and locations of equipment can be specified to exact and relative scale, equipment layouts should not be included in this figure. In the absence of a detailed scaled equipment layout the figure should specify the boundary of the allocated space with square footage, and columns indicated (when applicable).

20.5.2.2 Paragraph 3.2.2, Electrical. This paragraph shall specify the electrical requirements/characteristics necessary to ensure both the compatibility and the capacity of the electrical power supplied by a facility to a subsystem. The following electrical factors shall be considered in specifying the electrical power requirements.

- a. Voltage
- b. Frequency (all power frequencies are 60 Hz +/- 2%)
- c. Current
- d. Transients (voltage, current, inrush current, and harmonic distortion)
- e. Polarity (+/- for DC only), number of phases, and phase rotation.
- f. Power, kilovoltamperes (kVA), and power factor (lagging or leading)

Tolerances/ranges shall be included when specifying quantitative values. The range(s) of electrical power loading that will be placed on the facility by all potential modes of subsystem operation must be considered. Figure 20-9 illustrates an example of a power connection interface diagram.

20.5.2.3 Paragraph 3.2.3, Environmental. This paragraph shall specify the environmental requirements/characteristics necessary for the facility to support subsystem operations. The following environmental factors shall be considered in specifying the environmental requirements.

- a. ambient temperature of operations
- b. relative humidity

- c. cooling load subsystem loads to be imposed on the facility
- d. heating load subsystem loads to be imposed on the facility

20.5.3 Subsection 3.3, Project unique. This subsection shall specify unique subsystem requirements that 1) the facility must provide to the subsystem in order for the subsystem to operate as designed and 2) is a service/requirement not typically provided by a facility. Included among the project unique requirements would be specialized structural supports; special grounding, bonding, or shielding requirements; power conditioning requirements; special lighting; raised flooring; noise abatement; specialized subsystem water or forced air cooling; and security/accessibility requirements.

For example, "The ASDE-3 antenna subsystem, consisting of a radiating assembly, radome/rotodome, pedestal, rotating joint and other components mounted on top of the Air Traffic Control Tower (ATCT) cab shall not impart a dead load greater than 3300 pounds to the structure."

20.6 Section 4, QUALITY ASSURANCE PROVISIONS. This section of the IRD shall specify the process of verification for interface requirements presented in Section 3 of the IRD. For requirements specified in an external reference, refer to Section 10.1.6.1 for verification methodology.

20.6.1 Subsection 4.1, General. This subsection shall contain the following statement: "Verification shall be in accordance with Table [4-x], Verification Requirements Traceability Matrix (VRTM)." Verification levels and methods implemented in the VRTM are defined in the following paragraphs.

20.6.2 Subsection 4.2, Responsibility for verification. This subsection shall contain a statement to the effect that the government has responsibility for developing and implementing the verification of requirements for each project. The government may delegate verification activities to other organizations, independent contractors, and/or the major prime contractor.

20.6.3 Subsection 4.3, Special verification requirements. This subsection of the IRD shall list and describe any special verification requirements necessary to verify the technical requirements imposed by Section 3, Interface Requirements, of the IRD.

20.6.4 Table [4-x], Verification Requirements Traceability Matrix. Each IRD shall contain a VRTM that conforms to the format specified by Figure 20-10 and with contents that provide verification of each technical requirement contained in Section 3 of the IRD. If Section 3 of the IRD references an appendix of the IRD for requirements, each requirement contained in the appendix of the IRD shall also be listed in the VRTM with the appropriate verification. Only those verification methods used in the VRTM shall be identified at the top of the VRTM and defined in the following paragraphs.

20.6.5 Subsection 4.4, Verification levels and methods. The levels and methods of verification appropriate for use in the VRTM, presented in Section 4 of the IRD, are defined in the following paragraphs.

20.6.5.1 Paragraph 4.4.1, Verification levels. The three levels of verification are: Subsystem, Integration, and Site. All requirements imposed by Section 3 of the IRD shall be verified at one or more of these three levels.

- a. Subsystem-level. This level of verification is usually accomplished at the contractor's facility and culminates in the formal acceptance of a contractual end-item.

- b. Integration-level. This level of verification is conducted at the FAA Technical Center, or at a key site. The

verification conducted will determine if the hardware, software, or subsystem to be deployed for site installation will perform in a NAS environment and in accordance with NAS system-level operational and functional requirements.

c. Site-level. This level of verification is usually performed at the designated site. The verification portion of the subsystem installation and checkout will emphasize demonstration of the overall system performance requirements. It includes the demonstration of an end-item, subsystem and/or system, the final acceptance demonstrations, and commissioning activities.

20.6.5.2 Paragraph 4.4.2, Verification methods. The four verification methods that can be used at any of the three verification levels are as follows.

a. Inspection. Inspection is a method of verification to determine compliance without the use of special laboratory equipment, procedures, or services, and consists of a non-destructive static-state examination of hardware, software, and/or technical data and documentation.

b. Test. Test is a method of verification wherein performance is measured during or after the controlled application of functional and/or environmental stimuli. Quantitative measurements are analyzed to determine the degree of compliance. The process uses standardized laboratory equipment, procedures, and/or services.

c. Demonstration. Demonstration is a method of verification where qualitative determination of properties is made for a configuration item, including software and/or the use of technical data and documentation. The items being verified are observed, but not quantitatively measured, in a dynamic state.

d. Analysis. Analysis is a method of verification where hardware or software designs are compared with known scientific and technical principles, procedures, and practices to estimate the capability of the proposed design to meet the mission and system requirements.

20.7 Section 5, PREPARATION FOR DELIVERY. This section is not applicable to facility type IRDs.

20.8 Section 6, NOTES. This section of the IRD shall contain information of a general or explanatory nature. No requirements shall appear in Section 6. It shall contain information designed to assist in determining the applicability of the IRD.

20.8.1 Subsection 6.1, Operational concept. This subsection shall contain information relative to the use of the configuration item covered by the IRD. A brief summary of the functions of the subsystem relative to the interfacing subsystem should be included.

20.8.2 Subsection 6.2, Definitions. This subsection shall define all non-standard terms used in the IRD. Terms that are defined in FAA-STD-025, section 6.1, shall have the same definition in the IRD.

20.8.3 Subsection 6.3, Abbreviations and acronyms. This subsection shall define all abbreviations and acronyms used in the IRD. Entries that are defined in FAA-STD-025, section 6.2, shall have the same definitions in the IRD.

20.8.4 Subsection 6.4, Key word index. This subsection shall list any key words or phrases used in the IRD for reference to the interfacing facility/subsystem.

FACILITY IRD FORMAT OUTLINE	
	Cover Page Approval Signature Page Revision Record Effectivity Page Table of Contents
1.	SCOPE
1.1	Scope
1.2	Facility / Subsystem responsibility list
2.	APPLICABLE DOCUMENTS
2.1	Government documents
2.2	Non-government documents
3.	INTERFACE REQUIREMENTS
3.1	General requirements
3.2	Physical requirements
3.2.1	Envelope
3.2.2	Electrical
3.2.3	Environmental
3.3	Project unique
4.	QUALITY ASSURANCE PROVISIONS
4.1	General
4.2	Responsibility for verification
4.3	Special verification requirements
4.4	Verification levels and methods
4.4.1	Verification levels
4.4.2	Verification methods
5.	PREPARATION FOR DELIVERY
6.	NOTES
6.1	Operational concept
6.2	Definitions
6.3	Abbreviations
6.4	Key word index

Figure 20-1. IRD Format Outline (Facility Type)

[IRD Number]
[Rev Letter]
[Date]

U.S. Department of Transportation

Federal Aviation Administration

Interface Requirements Document

[Interfacing Facility / Interfacing Subsystem]

Figure 20-2. IRD Cover Page (FAA WA Form 4510-1)

<div style="text-align: right;"> [IRD Number] [Rev Letter] [Date] </div>		
INTERFACE REQUIREMENTS DOCUMENT APPROVAL SIGNATURE PAGE [Interfacing Facility / Interfacing Subsystem]		
APPROVAL SIGNATURES		
PARTICIPANT	NAME	DATE
[Facility System Engineering Organization]		
[Subsystem Organization]		
[NAS Transition and Implementation Organization]		

Figure 20-3. IRD Approval Signature Page

		[IRD Number]	[Rev Letter]	[Date]
REVISION RECORD				
REVISION LETTER	DESCRIPTION	DATE	ENTERED BY	
[Revision letter]	[Brief summary of change including IR number]	[CCD approval date]	[Name of person editing document]	

Figure 20-4. IRD Revision Record

[IRD Number]
 [Rev Letter]
 [Date]

TABLE OF CONTENTS

<u>Paragraph</u>		<u>Page</u>
1.	SCOPE	1
11	Scope	1
12	Subsystem/facility responsibility list	1
2.	APPLICABLE DOCUMENTS	2
21	Government documents	2
22	Non-government documents	2
3.	INTERFACE REQUIREMENTS	3
31	General requirements	3
32	Physical requirements	3
321	Envelope	3
3211	Location and orientation	3
3212	Floor Loading	3
3213	Point Loads	3
322	Electrical	3
323	Environmental	12
3231	Thermal	12
3232	Heat generation	12
33	Project unique	12
4.	QUALITY ASSURANCE PROVISIONS	14
5.	PREPARATION OF DELIVERY	15
6.	NOTES	16
61	Operational concept	16
62	Definitions	16
63	Abbreviations and acronyms	16
64	Key word index	16

Figure 20-5. Example IRD Table of Contents

3. INTERFACE REQUIREMENTS

- 3.1 General requirements. The WARP shall be installed in ARTCCs and shall require essential power, critical power, heating, ventilation, and air conditioning (HVAC). Safety, security and environmental requirements are specified in FAA-STD-032 and FAA Order 1600.54. Those facility to subsystem interfaces required for equipment installations are identified herein.
- 3.2 Physical requirements.
- 3.2.1 Envelope. The WARP equipment width, and depth shall not exceed the dimensions as referenced in Table 3-I.
- 3.2.1.1 Location and orientation. The WARP equipment supplied shall be mounted in the first floor control room and the Automation Wing Basement (AWB) as shown in Figures 3-1(a-c) and shall be mounted to withstand local seismic conditions.
- 3.2.1.2 Floor Loading. Cabinets and frames shall be designed for an average weight distribution of floor loading not to exceed 125 lb./sq. feet.
- 3.2.1.3 Point Loads. The WARP shall have no point load that exceeds 1000 lb./sq. inch over a 4 square foot area for each single cabinet and rack.
- 3.2.2 Electrical.
- The WARP processing equipment and work station shall connect to the critical power system of the ARTCC as specified in Figure 3-2a and Figure 3-2b.
 - The WARP Briefing Terminals shall connect to the power system of the ARTCC as specified in Figure 3-2c.
 - If equipment is connected using each of three phases of the critical power system, then a balanced load shall be maintained on each of the three phases.
 - If an antenna is installed, the de-icer shall be connected to the essential power.
 - The interface power shall be provided by the facility consisting of a 3-phase power panel and circuit protection for 3 circuits of 30 amperes, 3 poles each.
 - The power consumption of the workstation equipment, located in the Control Wing First Floor, shall not exceed the values shown in Table 3-II.
 - The power consumption of the Briefing Terminal equipment, located in the E-Complex, shall not exceed the values shown in Table 3-II.
 - The power consumption of the processing equipment, located in the Automation Wing Basement, shall not exceed the values shown in Table 3-II.
 - The power consumption of all the WARP equipment together shall not exceed the values shown in Table 3-II.
 - Critical power requirements shall be in accordance with FAA Orders 6950.2c, and FAA-G-2100, paragraph 3.3.2 and its subparagraphs.
- 3.2.3 Environmental.
- 3.2.3.1 Thermal. The WARP subsystem shall operate within the parameters shown in Table 3-III.
- 3.2.3.2 Heat generation. The WARP heat generation shall not exceed the values shown in Table 3-III.
- 3.3 Project unique. Not Applicable.

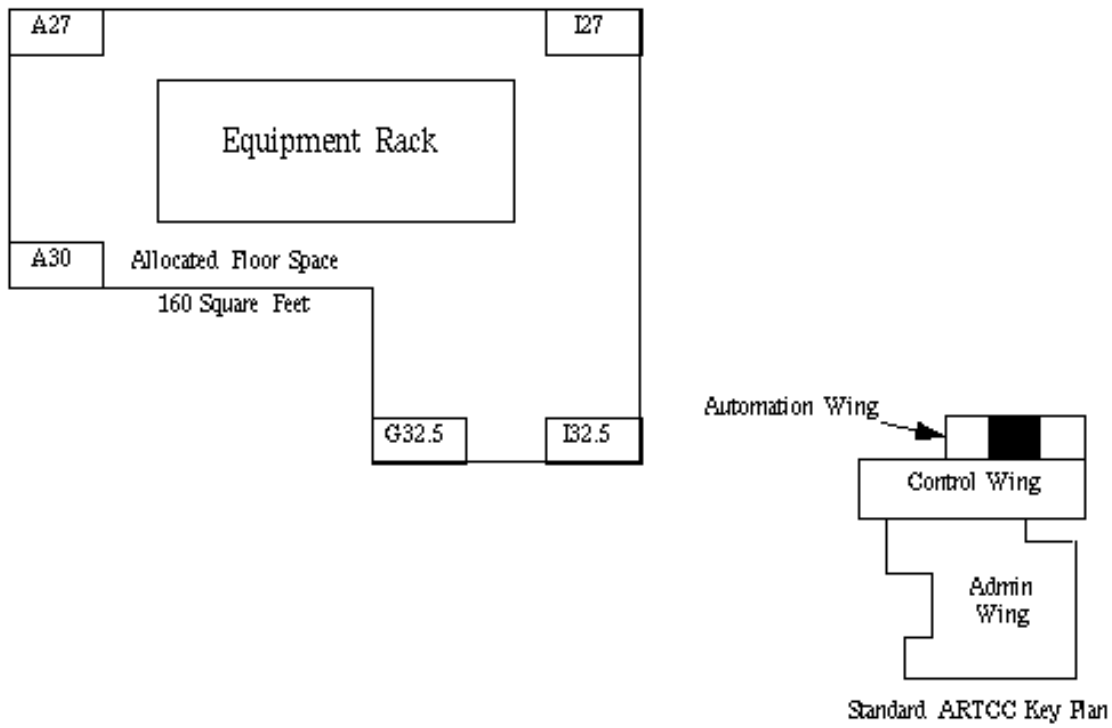
Figure 20-6. Example Interface Requirements Section

Table 3-I. WARP Envelope Requirements								
COMPONENT NAME	QTY	WIDTH (IN)	DEPTH (IN)	HEIGHT (IN)	FRONT CLEAR (IN)	REAR CLEAR (IN)	UNIT SPACE (SF)	TOTAL SPACE (SF)
WARP Processor	1	212.4	36	76	36	36	160	160
Workstation	1						75 ¹	75 ¹
Briefing Terminal	15						6 ²	90 ²
Total Square Feet								325
Notes:								
1. Access space is included.								
2. Access space is not included.								

Table 3-II. WARP Electrical Requirements							
		CRITICAL POWER			ESSENTIAL POWER		
COMPONENT NAME	QTY	VOLTS 60 Hz	UNIT KVA	TOTAL KVA	VOLTS 60 Hz	UNIT KVA	TOTAL KVA
WARP Processor	1	120	178	178			
Workstation	1	120	48	48			
Briefing Terminals	15				120	0.156	2.34
Total Critical KVA				226	Total Essential KVA 2.34		

Table 3-III. WARP Environmental Requirements							
COMPONENT NAME	QTY	UNIT HEAT GEN BTU/HR	TOTAL HEAT GEN BTU/ HR	AMBIENT CONDITIONS			
				ROOM TEMP		HUMID %	
				DEGF (L)	DEGF (H)	RH (L)	RH (H)
WARP Processor	1	50,900	50,900	60	90	10	80
Workstation	1	13,200	13,200	60	90	10	80
Briefing Terminal	15	433.3	6,500	60	90	10	80
Total BTU/HR				70,600			

Figure 20-7. Example Envelope, Electrical, and Environmental Requirements Data Tables



Notes:

- Location, space, and orientation may vary based on ARTCC efficiency.
- Corner alphanumeric are wing-floor location coordinates.
- All cables are routed under the floor.

Figure 3-1a. Location/Orientation/WARP Processor (AWB)

Figure 20-8. Example Location and Orientation Diagram

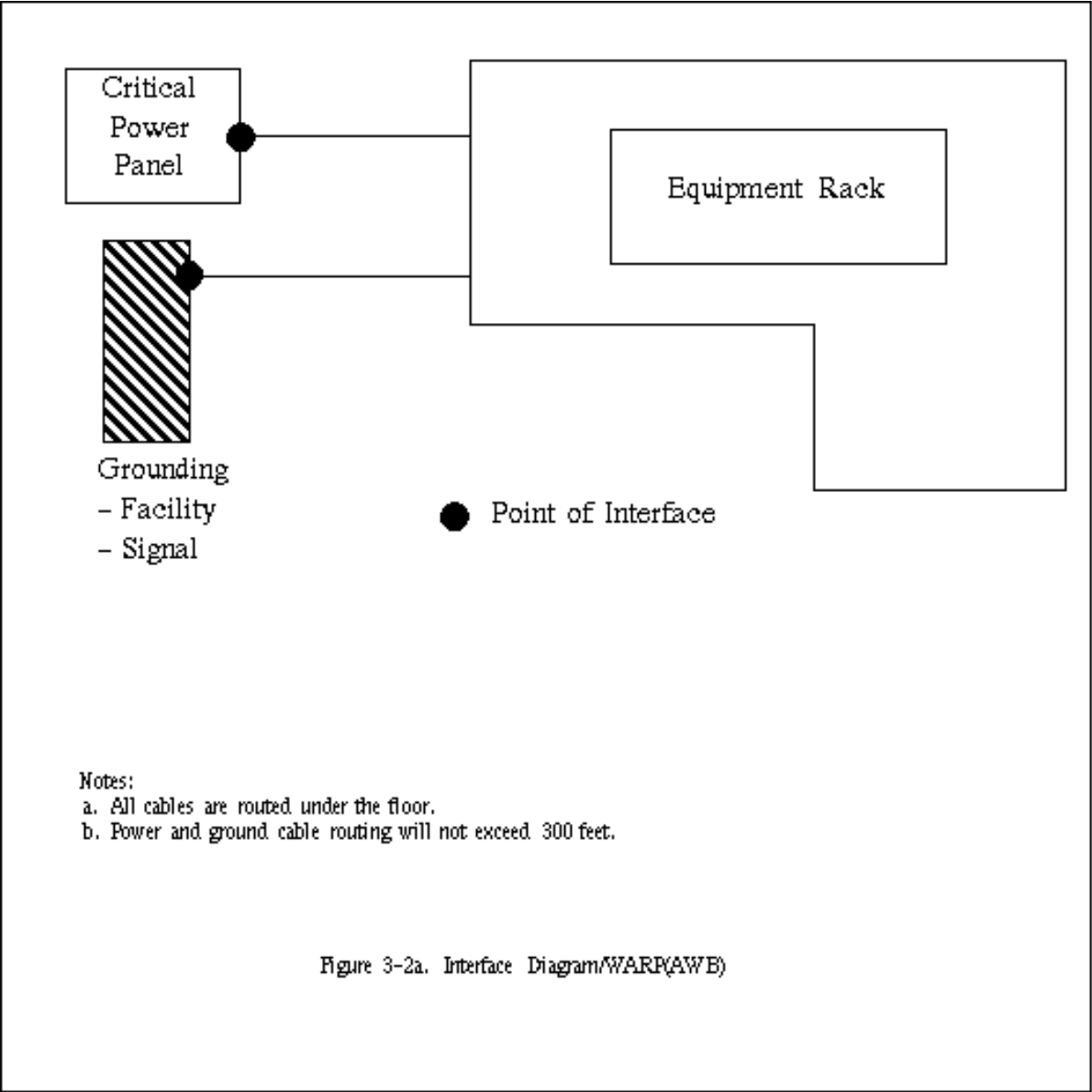


Figure 20-9. Example Power Connection Interface Diagram

Table 4-I. Verification Requirements Traceability Matrix				
D=Demonstration I=Inspection A=Analysis T=Test X=Not Applicable				
Section 3 Requirements Paragraph Reference	Verification Phase and Method			
	Subsystem Level	Integration Level	Site Level	Remarks

Figure 20-10. VRTM Format

APPENDIX III

30. SUBSYSTEM/SUBSYSTEM AND SUBSYSTEM/USER INTERFACE CONTROL DOCUMENT PREPARATION

30.1 General preparation requirements for ICDs. The following general preparation requirements shall apply to all subsystem/subsystem and subsystem/user ICDs.

30.1.1 ICD format. Each ICD shall conform, at a minimum, to the generic format presented in Figure 30-1 of this standard. An appendix may be used to provide information in an ICD when the interface design details are lengthy or otherwise do not fit the Figure 30-1 format. As explained in paragraphs 30.5.2.1 and 30.5.2.2, appendices shall also be used to incorporate relevant PICS for OSI profiles, and the 'equivalent' of PICS for application profiles. If an item required by the Figure 30-1 format is definitely not applicable to the interface design, it shall be identified by use of the term "Not Applicable." Figures shall be numbered using Arabic numerals for the second digit (i.e. 3-1, 3-2) and tables shall be numbered using capital Roman numerals for the second digit (i.e., 3-I, 4-I).

30.1.2 ICD standards. ICDs shall be prepared in accordance with this appendix and FAA-STD-005. Drawings prepared to document the interface design characteristics shall comply with FAA-STD-002 and MIL-STD-100, as applicable. Schematics used in an ICD shall be drafted in accordance with MIL-STD-100 and shall utilize the symbology specified by IEEE 315 and IEEE 315A. Clarity and legibility shall meet the reproducibility requirements specified in FAA-STD-023.

30.1.3 Basic approach. Interface design characteristics shall be documented by use of drawings, tables, and written text. As used here, the term drawing includes figures, block diagrams, schematics, wiring diagrams, or any other form of government or industry accepted graphic representation approved by the FAA for use in interface documentation. The ICD shall completely document interface design characteristics, and shall show design compliance with specified interface requirements including those imposed by referenced documents.

30.1.4 Item specific drawings and tables. Item specific drawings and tables may be used to document interface design characteristics where the specified interface requirements are of sufficient complexity. Once a single drawing or table is used in an ICD, it may be referenced from any paragraph that contains interface design characteristics documented by that drawing or table. It is not necessary to reproduce the drawing/table for multiple references.

30.1.5 Headers. Each page of an ICD, including the front cover, shall contain a header in the upper right hand corner of that page. Each header shall contain the ICD number and the date of the ICD. If the ICD is a draft document, the word "DRAFT" shall follow the date and be represented in capital letters. ICD numbers shall be obtained from the FAA. If the ICD is a revision to a baselined ICD, the revision letter shall be included immediately under the ICD number by use of the word "REVISION" in capital letters, followed by the revision letter of the ICD.

30.1.6 Page numbers. The cover of the ICD shall be considered to be the first page, although no page number shall appear on the cover. Page numbering shall begin on the Approval Signature Page. The Approval Signature, Revision Record, Effectivity, and Table of Contents pages shall be numbered using lower case Roman numerals. The Approval Signature page shall be numbered "ii," with the pages through the Table of Contents numbered sequentially. The page beginning with Section 1, SCOPE, shall be numbered as page "1" using Arabic numerals. The subsequent pages of the ICD, including appendices, shall also be numbered sequentially using Arabic numerals.

30.1.7 Paragraphing. This appendix uses the terms section, subsection, and paragraph in discussing the structural requirements for an ICD. The terms section and subsection are used in a conventional sense. The use of the term paragraph is far more liberal, and can mean a single paragraph or multiple paragraphs that are subparagraphs of a main paragraph. The author of an ICD shall subparagraph as necessary to present interface design in a logical, concise, and understandable manner. All subparagraphs shall be numbered. All requirements are to be structured such that only one "shall" appears in a uniquely identifiable text entity.

30.2 ICD Publication requirements. The following publication requirements shall apply to all subsystem/subsystem and subsystem/user ICDs.

30.2.1 Covers.

30.2.1.1 Covers for subsystem/subsystem ICDs. Covers for subsystem/subsystem ICDs shall be in accordance with the format presented in Figure 30-2. ICD covers shall be produced using FAA WA Form 4510-1. The subsystem/subsystem ICD title shall identify the interfacing subsystems. In the case where the number of interfacing subsystems precludes listing each in the title, a generic title may be used.

30.2.1.2 Covers for subsystem/user ICDs. Covers for subsystem/user ICDs shall follow the same rules as in paragraph 30.2.1.1 except that the second occurrence of the word "subsystem" of Figure 30-2 shall be replaced with the word "user".

30.2.2 Approval Signature Page. The Approval Signature page shall be the first interior page of an ICD, and be in accordance with the format presented in Figure 30-3. For fielded systems, modifications and revisions will be documented and controlled by the Regional Configuration Control Boards.

30.2.3 Revision record. The Revision Record page shall be in accordance with the format presented in Figure 30-4. The "REVISION LETTER" column shall show the revision letter assigned at the time of each incorporation. The "DESCRIPTION" column shall briefly describe the change that was incorporated. In the "DATE" and "ENTERED BY" columns, approval signatures shall be affixed and dated for each revision letter entry.

30.2.4 Effectivity. Effectivity pages will not be included in ICDs. The location of equipment will be as specified in NAS System Specification (NAS-SS-1000) Volume I, Appendix II.

30.2.5 Table of Contents. The Table of Contents shall outline the contents of the document by sections and paragraphs. Their respective title and page number shall be listed in parallel columns in the order in which they appear in the document. The Table of Contents shall be in accordance with the sample format presented in Figure 30-5.

30.3 Section 1, SCOPE. The contents of this section shall be as defined in the following paragraphs.

30.3.1 Subsection 1.1, Scope. The scope shall consist of a brief summary of the contents of the ICD and its intended purpose. At a minimum, the scope shall contain the following sentences: "This ICD provides the design characteristics for an interface between the [subsystem] and the [subsystem]. This ICD satisfies the interface design requirements contained in [requirements document number and title]." For a subsystem/user ICD, the second occurrence of "subsystem" should be replaced with "user".

30.3.2 Subsection 1.2, Subsystem responsibility list. The subsystem responsibility list shall appear immediately after the scope. It shall consist of a list of the interfacing subsystems with their respective common names and the responsible contractor/FAA program office for the detailed design specification. For subsystem/user ICDs, it is not necessary to list all the subsystems that could be replaced by the word "user".

30.4 Section 2, APPLICABLE DOCUMENTS. Applicable documents shall be listed in accordance with FAA-STD-005. The contents of this section shall be as defined in the following paragraphs.

30.4.1 Subsection 2.1, Government documents. Government source documents (standards, specifications, publications, etc.) referenced in the ICD shall be listed. Government interface documentation referenced by this ICD shall also be listed here under the category of "OTHER PUBLICATIONS."

30.4.2 Subsection 2.2, Non-government documents. Non-government source documents referenced in the ICD shall be

listed.

30.4.3 Subsection 2.3, Document Sources. This section shall list the names and addresses of organizations and the types of documents they have available.

30.5 Section 3, INTERFACE DESIGN CHARACTERISTICS. This section of the ICD shall document the interface design characteristics between subsystems. Performance and interface peculiar man-machine design characteristics shall also be included. Design characteristics shall be documented to the extent necessary to define the characteristics of the interface design and to show compliance with interface requirements.

30.5.1 Subsection 3.1, General Characteristics. This subsection shall distinctly identify the interfacing subsystems, the point of interface, and functions/services provided by the interface. The connectivity between subsystems shall be specified as illustrated in Figure 30-6.

30.5.1.1 Subsection 3.1.1, Computer-human interface characteristics. Describes any computer-human interface (CHI) characteristics not specified elsewhere in the ICD.

30.5.2 Subsection 3.2, Functional design characteristics. This subsection of the ICD shall specify the functional design characteristics for the interface as described in the following paragraphs. Functional design characteristics are for example identified in three categories of interfaces: OSI-type (data); Analog-type; and Discrete-type. Performance characteristics and the tolerances those characteristics meet shall be documented to the extent that they are appropriate to the functional characteristic being documented. This subsection, Functional design characteristics, is required in each ICD written to this standard, and the contents will vary based on the purpose that the interface is intended to fulfill.

30.5.2.1 Subsection 3.2.1, Application Processes. This section and the following subsections apply to OSI-type interfaces. Preparers of Analog and Discrete-type ICDs should advance to subsection 30.5.2.3 or 30.5.2.4 respectively.

Interfaces involving computer processing of user application information shall specify the Application Process(es) (AP) that will be present in the interface between two subsystems (reference Section 6.1 for the definition of "Application Process"). For a subsystem/user ICD, the APs that will be present in any interface involving the given subsystem shall be specified (reference the definition of subsystem/user ICD). The requirements listed in the following subparagraphs shall be specified for Application Processes to the degree that they are to be present. If the interface utilizes a given AP process that has an entry contained in a NAS application process standard, then the associated requirements list with all options specified should be placed in an appendix to the ICD. This requirements list (with all options specified) can be referenced wherever applicable in order to satisfy the requirements specified in paragraphs 30.5.2.1.1 through 30.5.2.1.6. If some of the information specifying the AP for an ICD is contained in another ICD, then this second ICD may be referenced. If such referencing is performed, the relevant portions of the referenced ICD shall be specified. As an example, it may be convenient for a given ICD to reference a particular subsystem/user ICD. The ICD writer may choose to copy appropriate requirements lists contained in an appendix to the referenced ICD into an appendix of the referencing ICD.

30.5.2.1.1 Subsection 3.2.1.1, Identification of each Application Process. (OSI-type interfaces only) Identify and describe each AP that utilizes the interface. A descriptive name may be provided.

30.5.2.1.2 Subsection 3.2.1.2, Types of service required by the Application Process. (OSI-type interfaces only) Describe the kind of service(s) required by the AP (e.g. message transfer, file transfer, data base inquiry, weather graphics, surveillance, sensor, etc.). Specify the National Airspace System (NAS) category of service; critical, essential, or routine.

30.5.2.1.3 Subsection 3.2.1.3, Information units. (OSI-type interfaces only) Identify the units of information that may be transferred across the interface between two subsystems (e.g. messages, requests, acknowledgments, files, sensor and surveillance data messages, error messages, control messages, reports, etc.). If message type numbers have been associated with the information units, they should be listed to provide traceability. Specific information unit types (e.g. specific message

types) should be identified. Specify the following requirements for each information unit.

- a. Information code/structure - Identify the representation and structure of the information exchanged between the APs (e.g. ASCII, binary, graphic, etc.) Include the format for each information unit specifying the fields and field lengths. This may be indicated in an appendix.
- b. Information unit segmentation - Specify any segmentation required of the AP for each information unit. Include the maximum and minimum information unit sizes.
- c. Information flow - Indicate the direction of flow of each information unit (e.g. indicate initiator/responder of the information unit. Describe the procedures for initiating and responding to each information unit.
- d. Frequency of transmission - Indicate scheduled and unscheduled information unit transfer (include the times for the scheduled transfers and the average number of transfers per unit of time for the unscheduled transfers). Include maximum requirements that can occur (e.g., peak transmission frequency).
- e. Responses - Indicate if responses (including acknowledgments) are required for specific information unit transfers. Specify the response (e.g. the specific information unit type) and the response timer values. Indicate the maximum time allowed for receipt of an expected response.

30.5.2.1.4 Subsection 3.2.1.4, Quality of Service (QOS) (OSI-type interfaces only) Quality of service parameters are the end-to-end services required of OSI as defined in FAA-STD-039. These can include:

- a. Priority - Indicate the relative importance of each information unit type in relation to other unit types processed by communicating NAS APs. NAS applications shall use the AP priority indicators specified in FAA-STD-043 in this section when exercising the priority option specified in application layer protocol standards used by the NAS. The information priority capabilities of the lower OSI layers have nothing to do with AP information priority.
- b. Security - Indicate any security requirements such as protection from unauthorized access to specific information.
- c. Residual Error Rate - Indicate the residual error rate as the ratio of total incorrect, lost and duplicate data units to the total data units transferred across the network service boundary during a measured period.
- d. Transfer time constraints - Specify any performance requirements for the Application Process(es). Include any maximum transfer times for each information unit.
- e. Throughput - Indicate the throughput as the number of bytes of user data transferred over a given time interval.

30.5.2.1.5 Subsection 3.2.1.5, AP Error handling. (OSI-type interfaces only) Error handling procedures of the AP should be specified as required. Clarify what constitutes an error condition. The error handling capabilities of the lower OSI layers are assumed to be unrelated to the AP error handling process.

30.5.2.1.6 Subsection 3.2.1.6, Interface Summary table. (OSI-type interfaces only) An interface summary table (reference Figure 30-7) shall be used to establish associations between the messages that flow across the interface and the functions (Application Processes) by each of the interfacing subsystems. The interface summary table shall consist of three columns. The left column shall list the Source, AP, and the subprocesses. The middle column shall contain the names of the messages associated with a subprocess and the reference paragraph. The right hand column shall list the Sink, the AP, and the subprocess. Provide the following information for the interfacing subsystems listed in the interface summary table.

- a. For each cooperating source and sink function that requires interconnection support, the interface summary table

shall designate an AP by name and a matching AP, for the interfacing subsystems.

b. For each AP, the interface summary table shall designate a set of one or more Application Entities corresponding to subfunctions that originate or terminate specific data communications. For convenience, the Application Entities should be sequentially numbered as a subset within the Application Process numbering.

c. For each pair of Application Entities, one or more specific message shall be listed. Each message shall represent a functional link between a pair of subprocesses listed as the logical interface for the two subsystems.

d. For any Application Process, Application Entity, or message that cannot be identified, entries in the table shall be marked "TBS".

30.5.2.2 Subsection 3.2.2, OSI-type (data) interface. Functional characteristics shall be documented for an OSI-type interface, where applicable, for each layer of the International Organization for Standardization (ISO)/OSI model invoked. Appendix VI provides a description of these layers. FAA-STD-039 establishes a data communications architecture and contains a library of NAS OSI profiles and associated PICS proformas and PRLs. The architecture defined in FAA-STD-039 is based on the seven layers of the OSI model. This subsection of the ICD shall be written in accordance with FAA-STD-039 and Appendix VI.

The ICD must contain relevant PICS in appendixes. In many cases, these PICS can be constructed by filling out the PICS proformas in FAA-STD-039. If the desired profile is not an entry in FAA-STD-039, then the ICD writer must construct the PICS. Guidance for the development of profiles, PRLs, and PICS proformas is provided in ISO/IEC DIS-9646-7 and ISO TR 10000-1. If some of the information specifying the interface for an ICD is contained in another ICD, then this second ICD may be referenced. If such referencing is performed, the relevant portions of the referenced ICD shall be specified. (As an example, it may be convenient for a given ICD to reference a particular subsystem/user ICD. The ICD writer may choose to copy appropriate PICS contained in an appendix to the referenced ICD into an appendix of the referencing ICD.)

The contents of this subsection, shall address the following items.

- 3.2.2.1 Application Layer
- 3.2.2.2 Presentation Layer
- 3.2.2.3 Session Layer
- 3.2.2.4 Transport Layer
- 3.2.2.5 Network Layer
- 3.2.2.6 Data Link Layer
- 3.2.2.7 Physical Layer
- 3.2.2.7.1 DTE to DCE Interconnection

3.2.2.7.2 DTE to DCE Interconnection with Intermediate Equipment

3.2.2.7.2.1 DTE to Intermediate Equipment

3.2.2.7.2.2 DCE to Intermediate Equipment

3.2.2.7.2.3 DTE to DTE

30.5.2.3 Subsection 3.2.3, Analog-type interface. The functional characteristics for an analog-type interface in accordance with FAA-STD-029 shall document the number of analog signal paths required in each direction; the nature of the signals (e.g. voice band audio); functional characteristics for switching, control, and supervisory signaling; and the common electronic characteristics of the analog signals to be accommodated by the communications link or network that serves the interface (e.g. frequency bandwidth, impedance, signal level, noise and distortion limits, etc.). Any other characteristics (for signal processing, signaling, call set-up, etc.) that pertain to the analog portion of the interface shall also be documented.

30.5.2.4 Subsection 3.2.4, Discrete-type interface. The functional characteristics for a discrete-type interface in accordance with FAA-STD-029 shall document the number of control signal paths to be used in each direction; functional characteristics for switching, signaling, etc.; functions controlled on each signal path (e.g. "receiver mute" or "automatic gain control"); the common electrical characteristics (e.g. voltage, polarity, rise time, frequency, pulse rate, etc.) to be accommodated by the communications link or network which serves the interface; and any other characteristics that pertain to the discrete control signal portion of the interface.

30.5.2.5 Subsection 3.2.5, Interface design characteristics table. In addition to documenting the functional characteristics of the interface in the textual format required by the previous paragraphs, interface functional characteristics shall also be summarized in an interface design characteristics table or matrix. The interface design characteristics table will serve as a "quick-look" reference. Included shall be message identification (e.g. number, name, etc.); format type; message sizes (whether fixed or variable lengths); frequency/rate of transmission. The reference source for messages mandated by international treaties, agreements with government agencies, etc. shall also be included (reference Figure 30-8).

30.5.3 Subsection 3.3, Physical design characteristics. In certain cases where one or more of the subsystems supplies electrical/mechanical/environmental support to another subsystem, the physical design characteristics must be documented in an ICD as described in the following paragraphs. Performance characteristics and the tolerances those characteristics meet shall be documented to the extent that they are appropriate to the functional characteristic being documented. Interfacing subsystems shall be specified in their installed (or "mated") condition. In addition, the "halves" of the interface shall be separated and specified in detailed views. Only that portion of the hardware that is applicable to the interface needs to be identified. Each component or part shall be identified with the participant responsible for supplying it.

30.5.3.1 Paragraph 3.3.1, Electrical power/electronic characteristics. This paragraph of the ICD shall document the electrical power/electronic characteristics associated with the interface. Electrical power characteristics are those which relate to the transfer of primary-type power between subsystems. Electronic characteristics are those which relate to the process of signaling, controlling, or transferring information. Interconnecting cables shall be identified by reference number and supplier.

30.5.3.1.1 Subparagraph 3.3.1.1, Connectors. This paragraph of the ICD shall document the connectors used in the interface. The mechanical characteristics to be documented shall include size, pin/socket configuration, keyway indexing and tolerance, materials, finish, and torque. Electrical characteristics to be documented shall include pin-to-pin isolation, breakdown voltage, contact resistance, dielectric properties, conductivity, and bonding. Connectors shall be specified in their "mated" condition with the wiring configuration of each half defined. Signal/function-to-pin assignments shall be defined for

each connector half to assure proper connection of the circuits involved. All wires, including jumpers, splices, spares, etc. shall be identified. All unconnected pins, including uninstalled pins, shall also be identified. These documented characteristics may be satisfied through the use of a combination of drawings, tables, and written text.

30.5.3.1.2 Subparagraph 3.3.1.2, Wire/cable. This paragraph of the ICD shall document wire type, American Wire Gauge (AWG) conductor size, conductor material, jacket material, insulation voltage rating, color code, etc. Wire lengths, maximum resistances, cable capacitance, characteristic impedance, etc., shall also be documented. When cable routing is critical to maintain electromagnetic compatibility or pulse isolation, special notes, twist characteristics, views etc., shall be included.

30.5.3.1.3 Subparagraph 3.3.1.3, Electrical power/electronic referencing (grounding). This paragraph of the ICD shall document how each circuit is connected to the common electrical reference(s) for power and signals. This paragraph is required only if the subject material is not specified in the physical layer.

30.5.3.1.4 Subparagraph 3.3.1.4, Fasteners. This paragraph of the ICD shall document the fasteners to be used to assemble interfacing components. Characteristics to be documented shall include head type, size, diameter, tolerance, thread definition, length, material, finish, and torque/installation values.

30.5.3.1.5 Subparagraph 3.3.1.5, Electromagnetic compatibility. This paragraph shall document the specific limits on signal transmission characteristics, radar interference, and communications interference.

30.6 Section 4, QUALITY ASSURANCE PROVISIONS. Section 4 shall contain the following statements for OSI-type interfaces:

- a. "Each project is required to perform conformance testing."
- b. "Each project is required to perform interoperability testing at an FAA-approved test facility."

30.7 Section 5, PREPARATION FOR DELIVERY. This section of the ICD shall document any special preparations for delivery.

30.8 Section 6, NOTES. This section of the ICD shall contain information used to describe unique operational concepts or exceptional details that amplify implementation of the operational concept contained in the requirements documents.

30.8.1 Subsection 6.1, Definitions. This subsection shall list the definitions of unusual technical terms used in the ICD.

30.8.2 Subsection 6.2, Abbreviations and acronyms. This subsection shall contain a definition of all abbreviations and acronyms used in the ICD.

30.8.3 Subsection 6.3, Key word index. This subsection shall list any key words or phrases used in the ICD for reference to the interfacing subsystems.

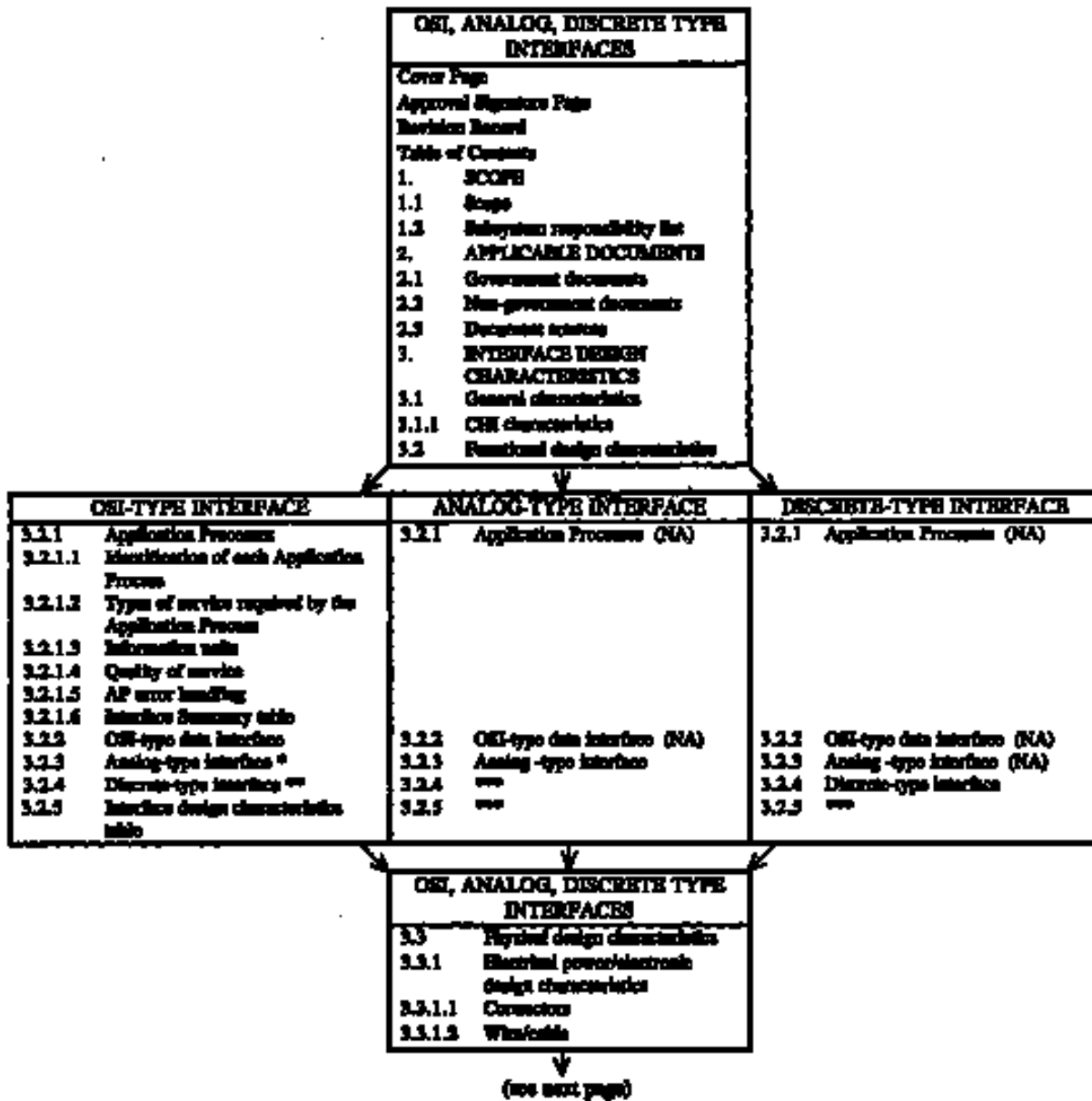


Figure 30-1. ICD Format Outline (OSI-type, Analog-type, and Discrete-type Interfaces)

3.3.1.3	Electrical power/electronic referencing
3.3.1.4	Postcursors
3.3.1.5	Electromagnetic compatibility
4.	QUALITY ASSURANCE PROVISIONS
5.	PREPARATION FOR DELIVERY
6.	NOTES
6.1	Definitions
6.2	Abbreviations and acronyms
6.3	Key word index

Notes:

NA Not Applicable.

***** This section is "NA" unless the interface contains analog-type data.

****** This section is "NA" unless the interface contains discrete-type data.

******* There is no need to include section number in document.

Figure 30-1. ICD Format Outline (OSI-type, Analog-type, and Discrete-type Interfaces) --continued

<div>[ICD Number] [Rev Letter] [Date]</div> <div>U.S. Department of Transportation Federal Aviation Administration Interface Control Document [Interfacing Subsystem 1 / Interfacing Subsystem 2]</div>
--

Figure 30-2. ICD Cover Page (FAA WA Form 4510-1)

<div style="text-align: right;"> [ICD Number] [Rev Letter] [Date] </div>		
INTERFACE CONTROL DOCUMENT APPROVAL SIGNATURE PAGE [Interfacing Subsystem 1 / Interfacing Subsystem 2]		
APPROVAL SIGNATURES		
PARTICIPANT	NAME	DATE
[Subsystem 1 Contractor]		
[Subsystem 2 Contractor]		
[Subsystem 1 Project Organization]		
[Subsystem 2 Project Organization]		

Figure 30-3. ICD Approval Signature Page

[ICD Number] [Rev Letter] [Date]			
REVISION RECORD			
REVISION LETTER	DESCRIPTION	DATE	ENTERED BY
[Revision letter]	[Brief summary of change]	[CCD approval date]	[Name of person editing document]

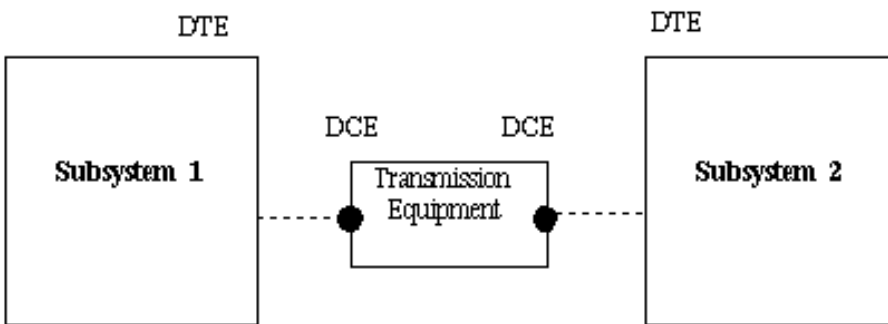
Figure 30-4. ICD Revision Record

		[ICD Num- ber] [Rev Letter] [Date]
<u>TABLE OF CONTENTS</u>		
<u>Paragraph</u>		<u>Page</u>
1.	SCOPE	1
1.1	Scope	1
1.2	Subsystem/equipment item responsibility list	1
2.	APPLICABLE DOCUMENTS	2
2.1	Government documents	2
2.2	Non-government documents	3
2.3	Document sources	3
3.	INTERFACE DESIGN CHARACTERISTICS	4
3.1	General characteristics	4
3.1	General characteristics	4
3.1.1	CHI characteristics	4
3.2.1	Application layer	4
3.2.2	Presentation layer	4
3.2.3	Session layer	4
3.2.4	Transport layer	4
3.2.5	Network layer	4
3.2.6	Data link layer	4
3.2.6.1	Configuration	4
3.2.6.2	Mode	5
3.2.6.3	Data link parameters	5
3.2.6.3.1	Data link addressing	5
3.2.6.4	Physical layer	5
3.2.7	Data circuit assignments	5
3.2.7.1	Timing circuit assignments	5
3.2.7.2	Serial signaling rate	5
3.2.7.3	Interface protection	5
3.2.8	Application process	6
3.2.8.1	Messages transferred across the interface	6
3.2.8.1.1	TDWR products	6
3.2.8.1.1.1	Alphanumeric products size	6
3.2.8.1.1.2	Application process graphic product length	6
3.2.8.1.1.2.1	Graphic product segmentation	6
3.2.8.1.2	TCCC generated messages	6
3.2.8.2	Message data unit	6

Figure 30-5. Example ICD Table of Contents



a) Without Transmission Equipment



b) With Transmission Equipment

● Point of demarcation

Figure 30-6. Example Subsystem Connectivity Diagram

Source	Message	Ref. Para	Sink
Subsystem Application Process Application Entity			Subsystem Application Process Application Entity

Figure 30-7. Example Interface Summary Table

MESSAGE NAME	FORMAT	SIZE (bytes)	TIME CONSTRAINTS	FREQUENCY

--	--	--	--	--

Figure 30-8. Example Interface Design Characteristics Table

APPENDIX IV

40. INTERFACE REVISION PREPARATION

40.1 Interface Revision (IR) applicability. An IR shall be used to change an Interface Requirements Document (IRD) or an Interface Control Document (ICD). Reasons for originating an IR include:

- a. Corrections to drafting or typing errors;
- b. Improving or expanding interface requirements/definition;
- c. Adding information to complete an incomplete document;
- d. Bringing a document into accordance with actual design or operation;
- e. Incorporation of requirement or design changes to resolve interface incompatibility; and
- f. Documenting changes in interfaces.

40.2 General preparation requirements for IRs. The following general preparation requirements shall apply to all IRs.

40.2.1 IR format. Sheet 1 of an IR shall conform to the format illustrated in Figure 40-1. Any additional IR pages required shall use the continuation form illustrated in Figure 40-2. Plain 8-1/2 by 11 or larger pages may be used to replace complete pages or to change large pictorial areas.

40.2.2 Standard IR information. In addition to the IR change description identified in paragraph 40.2.3, all IRs shall contain "standard information". Requirements for the generation of this information are outlined as follows. Each block in Figures 40-1 and 40-2 reflect the following paragraphs which describe the entries to be made in the respective block.

40.2.2.1 Document number. The number of the document being revised.

40.2.2.2 Document title. The title of the document being revised.

40.2.2.3 Document page/vol number. Document volume and/or page number as applicable.

40.2.2.4 IR number. An IR number may be used only once. The same number shall appear on each sheet of the IR.

40.2.2.5 IR page. The sequence number of the IR page. On page one only, '1' will be followed by the

total number of pages in the IR (e.g. '1 of 10'). On a single page IR, use "1 of 1".

40.2.2.6 Revision letter. This block shall be left blank. At the time of IR incorporation the document originator shall identify the revision letter under which the IR was incorporated.

40.2.2.7 Approval blocks. The IR originator and the same approval signatures as required on the document, listing the IR originator first.

40.2.2.8 Reason. This block shall contain a concise description of the reason for the IR.

40.2.3 IR change description. The body of the IR shall contain a detailed description of the changes to be made to the document. Each change shall be itemized, by number, on the IR form. The identifier and location of each change shall be specified; for book-form documents specify the page, paragraph, figure, etc. Change descriptions to drawings, figures, etc. shall be identified in detail. Previously unincorporated IRs shall be referenced if the change affects or cancels something added/changed by those IRs.

An accurate description of each change shall be specified with any special instructions for site incorporation:

- a. If the change modifies an existing interface description, both the old and new configuration shall be identified using the words "was" and "now," respectively.
- b. When an entire paragraph is to be changed the following instruction shall be used: "Revise paragraph to read as shown".
- c. If the change involves new information only, the instruction word "delete" shall be used.
- d. If a complete revision is to be accomplished the following instructions shall be used: "DOCUMENT COMPLETELY REVISED" or "PREVIOUS RELEASE IS OBSOLETE".

40.2.3.1 IR reference notes. IR references shall be used when it is necessary to include instructions or information on an IR other than the actual document change itself. The reference material shall be identified as "IR reference only; not for incorporation in document change". IR reference material shall not be incorporated.

40.2.3.2 Book-form replacement pages. If a change involves most of a book-form page, a replacement original page may be prepared and included as part of the IR. The IR number and IR sheet number shall be penciled in at the top of the page. When replacement pages are provided, the following instruction shall be used in the IR change description: "Replace document page [x] with page [y] of the IR".

40.2.3.3 Oversize pages. If a change description for a drawing requires more space than is available on the 8-1/2 x 11 IR form, larger pages may be used to supplement the IR. Pages used in this manner shall

carry the IR number and an appropriate IR page number. Change descriptions made on supplemental IR pages shall be referenced by appropriate instruction words on the basic IR form (e.g. "Make changes as described on page 3 of this IR"). At least a 1/2-inch margin shall be maintained on all sides of supplemental IR pages.

ORGANIZATION	APPROVED	DATE	NAS	DOCUMENT NUMBER	DOCUMENT PAGE/ VOL NUMBER
			INTERFACE REVISION Department of Transportation Federal Aviation Administration		IR NUMBER
					IR PAGE
				DOCUMENT TITLE	REV LTR
REASON					

Figure 40-1. Interface Revision Form

NAS INTERFACE REVISION CONTINUATION				
APPROVED	APPROVED			IR NUMBER
APPROVED	APPROVED	DOCUMENT NUMBER	DOC PAGE/VOL NUMBER	IR PAGE

Figure 40-2. IR Continuation Form

APPENDIX V

50. ISO/OSI IMPLEMENTATION

The term Open System Interconnection (OSI) qualifies standards for the exchange of information among systems that are "open" to one another. The fact that a system is open does not imply any particular systems implementation, technology, or means of interconnection, but refers to mutual recognition and support of applicable standards.

50.1 NAS OSI Profiles. The general structure of the OSI architecture described in FAA-STD-039 provides architectural concepts from which the Reference Model of Open Systems Interconnection has been derived, making specific choices for the layers and their contents. FAA-STD-039 contains a library of NAS OSI profiles, associated PICS proforma, and associated PRLs for NAS profiles. Using PICS proformas and PRLs helps to ensure that the system conforms to the standards for which compliance is claimed. This document is designed so that the IRD writer can copy the desired PICS proformas and PRLs into the IRD. Of course, it is possible that some modifications to the PICS proformas will be necessary to guarantee proper network interworking. The adding of additional restrictions to a PICS proforma constitutes a profile specific PICS proforma. When a PICS proforma is filled out with all choices specified, it becomes a PICS. Therefore the appropriate PICS belongs in an appendix in the ICD.

50.2 Layers of the OSI Reference Model. The OSI Reference Model contains the following seven layers:

- a) the Application Layer (Layer 7);
- b) the Presentation Layer (Layer 6);
- c) the Session Layer (Layer 5);
- d) the Transport Layer (Layer 4);
- e) the Network Layer (Layer 3);
- f) the Data Link Layer (Layer 2); and
- g) the Physical Layer (Layer 1).

These layers are illustrated in Figure 50-1. The highest is the Application Layer and it consists of the application-entities that cooperate in the OSI environment. The lower layers provide the services through which the application entities cooperate. Layers 1 to 6, together with the physical media for OSI, provide a step-by-step enhancement of communications services. The boundary between two layers identifies a

stage in this enhancement of services at which an OSI service standard is defined, while the functioning of the layers is governed by OSI protocol standards [as given in FAA-STD-029 and FAA-STD-039].

50.3 Addressing OSI Layers in IRDs. In the functional requirements section of an Interface Requirements Document (IRD) (reference Appendix I, Subsection 10.5.2) it is necessary to describe each of the layers that are used in the implementation. It would be preferred if each IRD list all seven layers. If the design does not have or will not have one or more layers, it is a closed system. In treating those absent layers the statement "This layer is not implemented" can be used. It is required that the IRD contains in appendices all relevant PRLs and PICS proformas. (If the interface makes no restrictions on how a particular PICS proforma may be answered, then it is not necessary to place this PICS proforma in an appendix; it suffices to reference it).

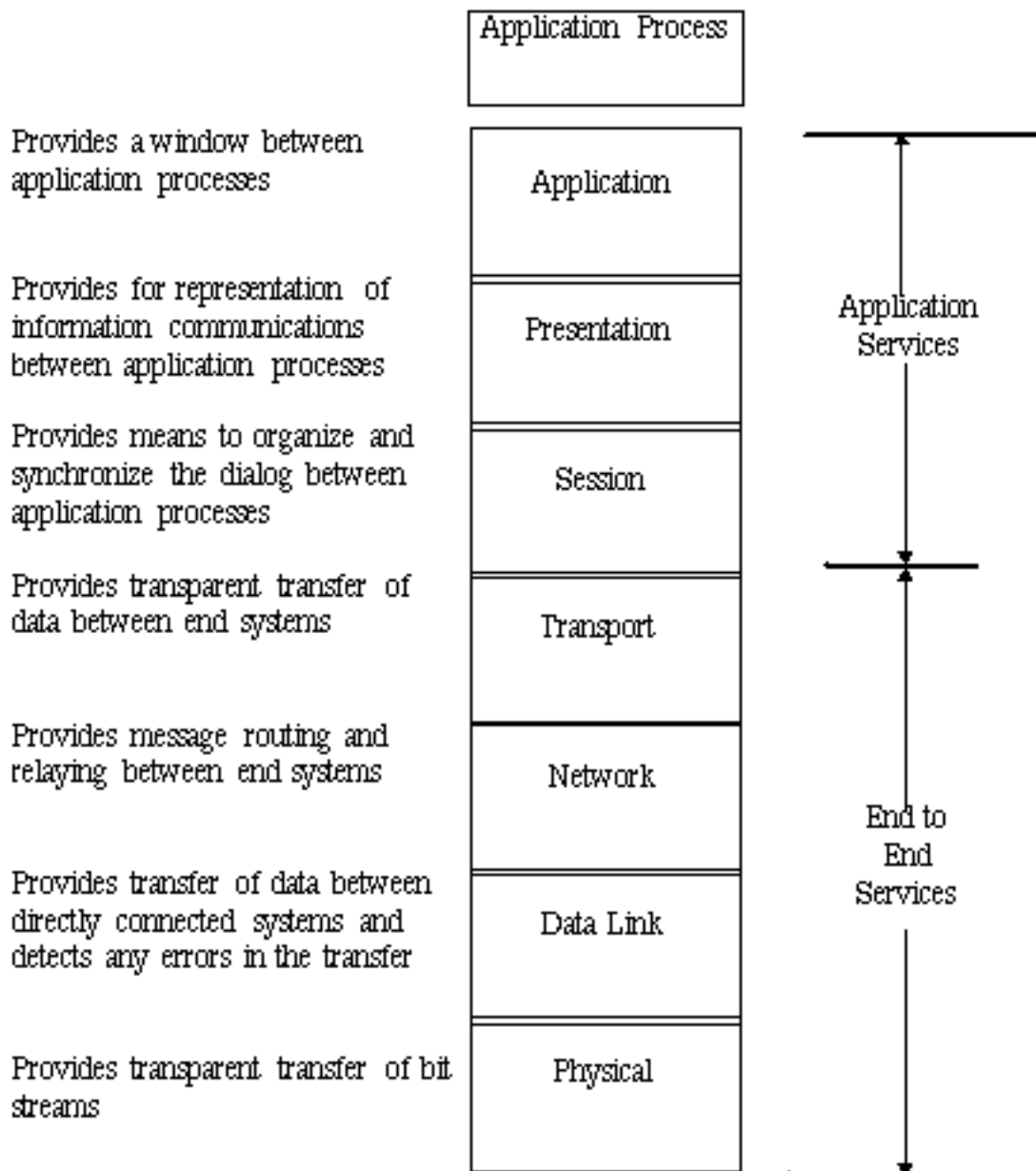




Figure 50-1. OSI Basic Reference Model

APPENDIX VI

60. DEVELOPMENT GUIDE FOR INTERFACE REQUIREMENTS DOCUMENT (IRD) AND INTERFACE REVISION (IR)

60.1.0 INTRODUCTION.

60.1.1 PURPOSE. This document has been developed as a brief introduction to the interface management process, and as a guide for developing Interface Requirements Documents (IRD) and Interface Revisions (IR). It is intended for both new authors as well as those who have previously developed IRDs and IRs.

60.1.2 SCOPE. This guide is not meant to be all encompassing, but it does include sources for obtaining the information necessary for the development of IRDs and IRs and identifies the organizations with which to coordinate the effort.

60.1.3 DEFINITIONS.

IRD - A formal agreement which documents the requirements and specifications for an interface; and contains functional, performance, and verification requirements.

IR - a change to a previously developed IRD that is under configuration control.

ICD - A formal agreement which documents how the interface requirements are implemented in the design of the subsystem.

60.1.4 OVERVIEW. An IRD establishes formal agreement among projects and documents design requirements for interfaces between subsystems or a subsystem and its supporting facility. The IRD, except facility IRDs, becomes part of the procurement package to the contractors to ensure that the contractors are designing toward a mutually understood interface.

An IRD may be started at any time in the early phase of the involved project's acquisition; however, baselined IRDs are required prior to finalizing a project's Statement of Work (SOW) and Request for Proposal (RFP). As a rule, IRDs are not normally written for systems where Interface Control Documents (ICD) are available. The development of an IR, or a change to a previously developed IRD, can occur anytime after an IRD is baselined.

The procedures and processes contained in this guide can be used by any developer of IRDs/IRs; i.e., Federal Aviation Administration (FAA) personnel or appropriate contractors.

Specific procedures may vary depending on where the document is initiated. The overall IRD/IR process

is described in FAA Order 1800.8f, National Airspace System Configuration Management.

60.2.0 IRD/IR DEVELOPMENT AND APPROVAL PROCESS

The IRD process begins with the identification of an interface in the NAS-DD-1000 or NAS-SS-1000. The need for an IRD is based on factors such as the maturity of the subsystems involved; e.g., whether a project released an RFP, or underwent preliminary design review (PDR) or critical design review (CDR); or whether an ICD might be more appropriate.

60.2.1 IRD DEVELOPMENT AND APPROVAL PROCESS DESCRIPTION

The IRD is developed, based on the requirements specified in the NAS-SS-1000, to define the requirements necessary for an interface between two subsystems, a subsystem and a generic user, or between a subsystem and the facility. The interface engineer/author selected to draft the IRD must coordinate with the project managers, System Engineering, Interface Management (IFM), verification and test, and others appropriate to the interface while drafting the document. Technical interchange meetings (TIMs) may be necessary to ensure complete and correct definition of requirements. Once the author has completed a draft, it is submitted to IFM for a final review prior to coordination. A casefile should be prepared at this time. Early coordination with IFM is necessary to help expedite the process.

The author is responsible for the IRD until it has been approved by the System Engineering (SE) Configuration Control Board (CCB).

The casefile is now entered into the NAS configuration management (CM) system. The case file and IRD are forwarded to ASD-140 for pre-screen. The document is reviewed by the pre-screening office and comments are resolved prior to the document leaving pre-screen for "must" evaluation. The case file is signed, "must" evaluators are selected, and a due date for "must" evaluator comments is assigned. The case file is then delivered to Configuration Management (CM) where it is assigned a National Airspace System (NAS) Change Proposal (NCP) number, entered in Document Control (DOCCON), and distributed to the "must" evaluators.

The IRD is now in the "must" evaluator process. The document is reviewed by FAA organizations impacted by the interface in question. The Interface Control Working Group (ICWG) made up of the IR/IRD author, the IFM lead, ASD-140, and the IRD signatories are responsible for comment resolution. Several ICWG meetings may be required before the IRD can be finalized. Once all comments have been resolved, the IRD is signed, usually at a specially convened ICWG, and the document and supporting documentation are returned to configuration management.

Signatures on the IRD/IR signature page means that the requirements are technically correct, and both projects agree that they can be implemented.

Signing an IRD/IR does not mean that funding, schedule, System Level Specification (SLS) changes, etc.

are resolved. These are only resolved by the NAS Change Proposal (NCP), and data attached to it, that must be approved by the NAS or SE CCB's, depending on dollars involved and kind of changes that the IRD/IR may be part of. It has to be kept in mind that the approval of the NCP does not get the dollars, only approves the need for them. A Financial Baseline Change Notice (FBCN) has to be done to get the actual dollars into the financial baseline.

The IRD is then presented to the appropriate CCB for baselining and, after approval, a configuration control decision (CCD) is written to finalize the document. A flowchart of this process is shown in Figure 60-1.

60.2.2 IR DEVELOPMENT AND APPROVAL PROCESS DESCRIPTION. The process for baselining an IR is nearly identical to the IRD process, the only difference being the reason IRs are developed. The reason for developing an IR is to revise a baselined IRD. This process is also reflected in Figure 60-1. Following approval at the SE CCB, the IR is merged into the baselined IRD to produce a revised baselined IRD.

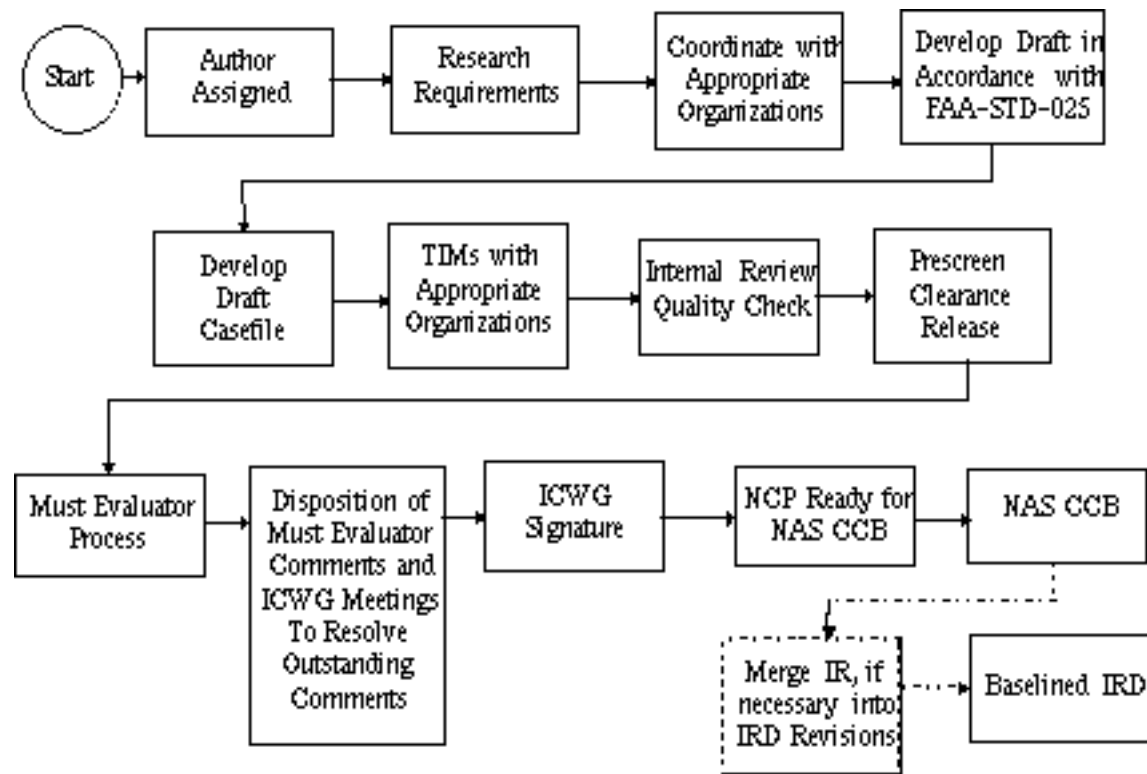


Figure 60-1 IRD/IR Development and Approval Process

60.2.3. INDIVIDUAL/ ORGANIZATIONAL RESPONSIBILITIES.

60.2.3.1 Interface Engineer/Author. The interface engineer/author is the document originator,

responsible for writing the IRD and the accompanying casefile and ensuring that the requirements stated in the document are valid. The author must coordinate with the involved project managers as well as system engineering and interface management to ensure proper documentation of requirements. The author may have to hold TIMs to obtain agreement from all interested parties.

The author then presents the final draft to IFM for review prior to entering the prescreen. The author will present the document to the Pre-review Board (PRB) and will be required to resolve comments received via prescreen, or the must-evaluator process. The author's attendance at meetings such as preboard, ICWG meetings, etc., will be required to support the document through the approval process to the CCB.

60.2.3.2 Interface Management (IFM). Interface Management is the group/function within Systems Engineering that is the Facilitator for the IRD/IR process. IFM must review the subject IRD for style and format, ensuring traceability to system documentation (e.g. NAS-SS-1000), and is responsible for coordinating the document through the review cycle , prescreen, and must evaluation. IFM assigns must evaluators to the clearance record for the must-evaluator process and is responsible for scheduling ICWG meetings, or TIMs during the must-evaluator process as well as chairing these meetings. The engineer/author is responsible for ensuring that all comments are properly resolved and that the document is in final form for presentation to the CCB.

60.2.3.3 Project Management (PM). Project Management is responsible for ensuring that all project requirements for the interface are properly defined and that the IRD is used as the agreement for communicating these requirements. PM has one formal and several informal opportunities to make comments on the IRD. PM is required to attend meetings associated with the IRD and to sign the completed document to indicate concurrence with it.

60.2.3.4 System Engineering (SE). The SE divisions are responsible for ensuring that the requirements in the IRD are consistent with system documentation and sufficiently detailed at the NAS system level. SE has the same opportunities to comment on the IRD as does the PM.

60.2.3.5 Configuration Management (CM). Configuration Management's role is to ensure that all documentation necessary for the CCB to make a decision on the IRD is included in the NCP package. CM, upon approval by the CCB, writes a CCD to indicate formal baselining of the IRD. Copies of the IRD are then sent to the Document Control Center (DOCCON) as well as distributed to appropriate organizations.

60.3.0 TYPES OF INTERFACES.

60.3.1 NAS SUBSYSTEM-TO-SUBSYSTEM INTERFACE. NAS subsystems interface with other NAS subsystems [e.g., Low Level Windshear Alert System (LLWAS) to Tower Control Computer Complex (TCCC)] and to subsystems external to NAS [e.g., DOD interfaces]. These interfaces are hardware/software interfaces with the subsystems being connected directly or via a NAS communication subsystem(s) [e.g., National Airspace Data Interchange Network (NADIN) Packet-switched Network

(PSN)]. There are three levels of maturity of subsystem interfaces:

- 1) An immature subsystem interface is one in which at least one side of the interface does not have well-defined requirements. IRDs developed for this type of interface are normally baselined only when the IRD is required to satisfy the contractual requirement of one of the subsystems.
- 2) A mature subsystem interface has well-defined requirements on both sides of the interface. IRDs developed for this type of interface are normally baselined as part of the requirements definition documentation cycle.
- 3) A transitional interface is an interim interface between subsystems until the NAS-SS-1000 baselined subsystems become available. IRDs developed for this type of interface are normally baselined to satisfy the contractual requirements for one or both of the systems that will not be present in the end state NAS.

60.3.2 NAS SUBSYSTEM-TO-FACILITY INTERFACE. NAS subsystems within a facility that require floor space, specific environmental control, electrical power, grounding, etc., have an interface to the facility [e.g., Weather and Radar Processor (WARP) to ARTCC or Terminal Doppler Weather Radar (TDWR) to Air Traffic Control Tower (ATCT)]. These interfaces are hardware interfaces. A subsystem-to-facility IRD is developed to document the requirements for these interfaces. It is used by the facility contractor to develop the facility design requirements and by the subsystem vendor to develop "not-to-exceed" requirements.

60.3.3 NAS SUBSYSTEM-TO-USER INTERFACE. NAS subsystem-to-user interfaces are those where a particular subsystem interfaces to more than one other subsystem. A "user" system IRD is an IRD in which requirements are applicable to several interfaces. Instead of having several IRDs calling out the same set of communications service or remote monitoring subsystem (RMS) requirements, an IRD is created and referenced by the subsystem IRD when the requirements are applicable [e.g., NADIN PSN].

60.4.0 IRD/IR DEVELOPMENT.

60.4.1 SOURCES OF TECHNICAL DATA. The following are sources of technical data that may be available, depending on where the projects are in their life cycle.

60.4.1.1 Sources of Requirements. The following are the prime sources of requirements and are listed in order of precedence:

- 1) Capital Investment Plan (CIP) - Contains general descriptions of the NAS projects;
- 2) NAS-SR-1000 NAS System Requirements Specification contains top level system requirements for the NAS;

- 3) NAS-DD-1000 NAS Level I Design Document contains a high level definition which identifies the allocation of functions to specific subsystems;
- 4) NAS-SS-1000 NAS System Specification - Contains allocated functional/performance requirements and message tables for the information that will cross the interface. This system specification is the document with which the IRD must conform. If discrepancies (such as message differences) are found, they must be resolved, and if required, NCP(s) generated to make all baselined documents conform;
- 5) Engineering Data Base (EDB) - EDB provides functional interface data including message size and frequency.

The following sources should be used as they become available:

- 1) Project specifications - These specifications provide additional information for each subsystem.
- 2) Project Management Plan - This plan contains useful project objectives and schedule and managerial information.
- 3) Standards and Orders - Various standards and orders specify federal procedures, practices, and protocols for interfacing subsystems. The project manager will be able to aid the interface engineer/author in determining which standards are applicable for a particular subsystem.
- 4) Related IRDs - Related IRDs, which reference the same subsystem or facility, may prove to be useful in providing requirements. Appendices I, II, and III, of the Interface Management Plan contain a listing of available IRDs/ICDs.

60.4.1.2 Other Sources. In addition to the technical sources mentioned above, there are other sources of information that should be used. These include, but are not limited to, the following people and organizations:

- 1) Project Manager - The project manager is an excellent source for obtaining up-to-date project information, supplemental documentation, and specific interfacing criteria. The project manager can provide schedules and specific information on the subsystem development phase, and the subsystem specifications.
- 2) System Engineering - System Engineering provides NAS system requirements information and interpretation of the requirements. System Engineering also provides information relating to requirements verification at the NAS system level [i.e., the Verification Requirements Traceability Matrix (VRTM)].

3) Documentation Control Center - The Documentation Control Center provides copies of interface documentation, FAA standards and orders, etc.

60.4.2 IRD/IR FORMAT AND CONTENT. The following are the controlling documents to be used in the development of the IRD or IR:

1) The applicable Appendix of this standard is to be used for format and content. As a rule, the latest revision of this standard will be used to develop new IRDs. The only exception is for projects that may already be under contract. In this instance, the revision level identified in the contract(s) will be applicable. If there is a conflict between the projects as to the applicable revision level of this standard, Interface Management will work with the project offices to resolve the conflict.

2) The Interface Management Plan (DOT/FAA/ES-85/01, ATC-85-1070) is to be used for guidance in exercising the IRD/IR process.

60.4.3 PLANNING, COORDINATION, AND REVIEW OF AN IRD/IR. Proper planning and early coordination with appropriate personnel, i.e. project managers, Systems Engineering and Air Traffic, will expedite the IRD/IR process.

60.4.3.1 Planning. The author should coordinate early to ensure that word processing, graphics, and editing support are available.

60.4.3.2 IRD/IR Coordination. The checklist in Figure 60-2 of this document can be used by personnel developing IRDs or IRs to ensure that the proper coordination is accomplished and that the formal must evaluator process takes a minimal amount of time.

Early coordination does not necessarily mean that all differences of opinion have been resolved prior to the must evaluator review but only that everyone is familiar with the IRD/IR and that no unexpected problems arise. These early discussions will also identify any basic problems, such as disagreements about the messages that will be exchanged.

Coordination is necessary with the following organizations:

1) Program Offices - Early discussions with both of the applicable program offices will ensure that FAA counterparts and project managers are part of the IRD/IR development process.

These early discussions should emphasize the need to assess the cost impact of the IRD/IR. When IRDs/IRs are formally processed and presented to the appropriate CCB they must identify additional costs that exceed previously approved baseline funding.

2) ATR-100/300 - Early coordination will ensure that Air Traffic concerns are addressed early in

IRD development. This will prevent nonconcurrency due to message table problems and identify any need for an NCP. This will also prevent the IRD from being placed on hold until the NCP is finished.

3) ASD - Coordination with the appropriate divisions of the System Engineering organization is required. For example, ASD-110 must be notified of impacts to the basic communications architecture and other divisions within ASD must be informed if changes are required to system documentation (e.g., NAS-SS-1000).

4) AOP - For new IRDs, ASM-100 will review the environmental, electrical and mechanical characteristics, and maintenance-related messages (i.e., remote maintenance monitoring messages). IRs should also be reviewed by AOP if changes are proposed in these areas. AOP is a must reviewer on facility IRDs/IRs for proper space allocation and maintenance concerns.

5) ASD - For facility IRDs/IRs, ASD-130 is the system engineering organization for coordination.

6) The following organizations may be involved and require coordination when the IRD or IR development has progressed far enough to analyze impacts:

a) AOP-400 - This division reviews IRDs/IRs that require the use of leased communications, NADIN PSN, or Radio Communications Link (RCL) services. AOP-400 reviews the IRDs/IRs to ensure that message transfer can be supported as specified in the documents and that the IRD/IR protects the transparency of the transmission interface.

b) AND-300/340 - If the IRD/IR requires the use of one of the NAS communication systems that this organization is responsible for, e.g., data mux, RCL, NADIN, or low-density RCL, early coordination is required.

While the above steps involve much initial coordination and several "face-to-face" meetings, the overall coordination time will be less and many problems will be overcome. Do not send early drafts to anyone for a review unless verbally agreed to. Short face-to-face meetings should ensure personnel that they are being contacted early for their IRD/IR inputs, but not to prematurely review the documents.

Before the IRD/IR is submitted for formal review, final coordination, and approval, the engineer/author should answer the following questions:

- 1) Are the requirements in the IRD/IR traceable to the NAS-SS-1000?
- 2) Is the IRD/IR written in accordance with the latest version of FAA-STD-025?
- 3) If changes are required to NAS-DD/SS-1000, has an NCP been prepared?

Note: For a new IRD, that also requires changes to NAS-DD/SS-1000, two NCPs should be generated. An NCP for the IRD is to ensure that when processed and approved, it can be identified to DOCCON as a separate baseline document. Both NCPs should have a statement that case file XXX is in concurrent processing. The NCPs should be submitted at the same time to CM, with an indication that both need to be processed together.

4) Have the VRTMs been developed specifically for this document? Do not copy VRTMs. Verify with the appropriate requirements testing organizations.

5) Are any cost impacts being defined?

Note: Coordination with the project's financial analysis group will identify the cost of implementing these requirements so that the program manager can determine if the costs are within scope. This will assist in the preparation of the case file needed to baseline the IRD/IR.

60.4.3.3 IRD/IR Review.

60.4.3.3.1 Facility IRDs/IRs. AFE, Facility Systems Engineering Service, is the FAA sponsor for all facility IRDs/IRs. Must evaluators for an individual IRD/IR will consist of the following FAA organizations, based upon the content of the IRD/IR.

FAA Organization	IRD/IR Content
AND-XXX (Appropriate Project)	Dependent on IRD content
ASD-XXX (Appropriate Division)	Dependent on IRD content
ANS-100	All IRD/IRs
ANS-200	All IRD/IRs
AOP-100	All IRD/IRs
ATR-100	All IRD/IRs
ATR-300	Future systems projects
Any other FAA organization whose area of responsibility may be affected.	

60.4.3.3.2 Subsystem IRDs/IRs. ASD-140, Engineering Specialties Division, is responsible for the IRD process and for submitting subsystem IRDs/IRs for FAA must evaluation. Must evaluators for individual subsystem IRDs/IRs will consist of the following FAA organizations based on the content of the IRD/IR.

FAA Organization

AND-XXX (Appropriate Project)

ANS-XXX (Appropriate Project)

AUA-XXX (Appropriate Division)

ASD-XXX (Appropriate Division)

ASD-200

AOP-100

AOP-400

ATR-100

ATR-300

AND-300

AND-130

Any other FAA organization whose area of responsibility may be affected.

IRD/IR Content

Dependent on content

Dependent on content

Dependent on content

Dependent on content

All IRD/IRs with communications and communications protocols.

All IRD/IRs

All IRDs that establish interfacility communications loading and IRs that impact data loading.

All IRD/IRs

Future systems projects

All IRDs/IRs interfacing with NADIN, RCL, and data multiplexing.

All IRDs/IRs that contain Remote Maintenance Monitoring (RMM) information or impact the RMM system.

Use this checklist to establish the points of contact, and to document that contact has occurred. An indication of contact does not mean that total agreement has been reached.

Organization**Personnel****Dates Contacted**

FAA Project Office No. 1

FAA Project Office No. 2

ATR-100	_____	_____
ATR-300	_____	_____
ASD-____	_____	_____
ASD-____	_____	_____
ASD-120	_____	_____
AOP-100	_____	_____
AOP-400	_____	_____
AND-300-_____	_____	_____
ANS-100	_____	_____
ANS-200	_____	_____
AND-130	_____	_____
AOS-_____	_____	_____

On the following page is the Interface Requirements Document checklist. This checklist is to be used to aid in the development of interface requirements documentation.

Quality Assurance Checkpoint	Confirmed by	Date
1. Ensure that the IRD is developed in accordance with the latest version of FAA-STD-025, unless there are contractual obligations to use another version of FAA-STD-025.		
2. Ensure that "DRAFT" IRD versions are noted as such in the document header.		
3. Ensure that the Table of Contents is generated during IRD development by marking paragraph titles, versus being manually composed.		
4. Ensure that document titles cited in Section 2, Applicable Documents, are correctly defined with the current revision level and date.		
5. Ensure that all documents referenced in the IRD test have been cited in Section 2.		

6. Ensure that document revision letters are referenced only in Section 2.		
7. Ensure that paragraphs are structured so only one requirement or "shall statement" is defined per each unique identifiable text entity.		
8. Ensure that references to "who does what" are clear and accurate (e.g. The TCCC shall provide...).		
9. Ensure that each requirement in Section 3 is addressed with a one-to-one correspondence in the VRTM.		
10. Ensure that verification phase/method entries in the VRTM have been coordinated with IFM and the project.		
11. Ensure that tables and figures are legible and properly aligned on the page.		
12. Ensure that tables and figures are placed on the page directly following their text reference. In the case of multiple references per page, position them in the order in which they are referenced.		
13. Ensure grammar is correct and content is clear.		
14. Ensure that the entire document has been Spell-checked.		
*15. Ensure that square footages for panel mounted components are calculated using Width x Height.		
*16. Ensure that square footages include required clearance space for the component.		
*17. Ensure that the heat generated value is calculated from the kVA value, unless citing known values.		
*18. Ensure that the "Development Guide for IRDs and IRs" has been followed.		
19. Ensure that IRD development has been coordinated with both the project office and Systems Engineering (ASD).		

*For Facility IRDs.

Figure 60-2 IRD/IR Development and Review Checklist

APPENDIX VII

70. LESSONS LEARNED

70.1 Introduction. This appendix is dedicated to presenting some of the problems encountered during the development of interface documentation and to offer suggestions that may prevent any recurrence of problems that were experienced previously. These problems were documented by a joint AND/ASD Quality Action Team which reviewed the document development process in order to avoid any unnecessary delays.

70.2 Lessons learned. The following are comments, opinions, and lessons learned on actual problems that were experienced during document development. Some of these contain suggestions to avoid similar difficulties in the future. They do not necessarily reflect official policy or FAA position.

70.2.1 Interface issues. Attempting to resolve interface issues without coordination with Systems Engineering will result in the delay in the issuance of approved IRDs.

70.2.2 Interface requirements. Technical interface requirements of the NAS are generally not negotiable. They flow from the NAS-SS-1000 which ensures compatibility and performance of NAS interfaces.

70.2.3 Contractor involvement. Contractors often underestimate the amount of effort required to draft, review, and finalize Interface Control Documents. Some contractors believe that providing preliminary and final ICD drafts are the sum of their responsibility.

It is recommended that the (SOW) should contain specific paragraphs that specify the government's requirements for contractor involvement in the generation of ICDs. These paragraphs should list obligations such as coordinating with interfacing contractors, participation in Interface Control Working Groups (ICWGs) & Technical Interchange Meetings (TIMs), resolving technical interface issues, and the production of a baselined version of the ICD. It should be made clear that these responsibilities continue until the ICD is formally baselined. Interface Management (ASD-130) has draft SOWs, CDRLs, and DIDs as well as a draft letter to assist program managers coordinate with interfacing program managers.

70.2.4 ICD baselining. Having contractors review documents and provide comments will aid development of an ICD in a limited manner. If agreement is slow in coming between the two contractors building the interface, a meeting must occur to resolve issues. The project office must recognize when progress is not being made and act to facilitate agreement between the parties involved.

An additional lesson learned has been the great value in holding a meeting with all concerned parties present to resolve issues. Often a meeting is required to achieve closure of the ICD process. In order to be successful, the meeting should contain the following elements:

- a. Project personnel from both projects that have the authority to make decisions, and sufficient technical expertise to advise both project leads.
- b. Contractor personnel that have the authority to commit to decisions, and personnel with technical expertise to advise them.
- c. Contractor personnel on standby at their plants that can be contacted to confirm a technical issue that goes beyond the expertise of the personnel at the meeting.
- d. At the meeting the parties must agree who will do any changes that are agreed upon, set dates on which follow-on events will occur; i.e. final draft completion, response deadlines, etc. During the meeting every page of the ICD must be reviewed and an agreement reached that all parties are in agreement that no further changes are necessary to each page.

70.2.5 ICD Milestones. The ICD development process is very informal and vaguely understood. A suggestion is to provide identifiable milestones that track ICD development. These milestones should be mutually agreeable to both projects. Putting the ICD under the control of the lead project after CDR assures that any contractor-initiated changes from that point on have project approval.

70.2.6 NDI/COTS procurements. Because NDI/COTS procurements are non-developmental in nature, there are no formal PDRs and CDRs. Since the system design is usually predetermined for these procurements, interfacing contractors require documents that contain design information in order to develop an interface to that item.

The Statement of Work (SOW) must contain a requirement to deliver an ICD at contract award or other specified time. It should be recognized that for Non-Development Item (NDI)/ Commercial off-the-Shelf (COTS) procurements, the ICD will not meet the format requirements of FAA-STD-025, but must contain adequate design information that meets the design characteristics requirements (section 3) of an ICD. The ICD that is delivered on a NDI/COTS procurement will be the design document for that interface. To assure that there are no conflicts, interfacing projects have to coordinate during the acquisition strategy period, market surveys, etc. to assure that the interface will be satisfactory.

70.2.7 Contracted IRD version. Due to the contract process, it is possible that interfacing contractors may be on contract for different versions of Interface Revisions (IRs) that have been approved. This can be an obstacle to the coordination of ICDs between contractors due to the contrasting set of interface requirements. This situation needs to be recognized and coordinated between program managers.